



Date: 16 January 2024

Reference: RFP 2023/045/hn

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**Request for Proposal no. RFP 2023/045 -
Consultancy Services for Independent Evaluation of Green Climate Fund's Health, Food and
Water Security Result Area**

1. BACKGROUND

The Green Climate Fund (the "GCF" or "Fund") was established with the purpose of making a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change. In the context of sustainable development, the Fund will promote a paradigm shift towards low-emission and climate-resilient development pathways by providing support to developing countries to limit or reduce their greenhouse gas emissions and to adapt to the impacts of climate change. The Fund's headquarters are located in Songdo, Incheon City, Republic of Korea.

The GCF was designated as an operating entity of the financial mechanism of the United Nations Framework Convention on Climate Change ("UNFCCC"). It is governed and supervised by a Board that has responsibility for funding decisions pursuant to the Governing Instrument for the Green Climate Fund (the "Governing Instrument"). It is supported by an independent Secretariat, accountable to the Board, having management capabilities to execute day-to-day operations of the GCF, providing administrative, legal and financial expertise.

The GCF is operated by a Secretariat headed by an Executive Director. The GCF also has three independent units including the Independent Integrity Unit (IIU), Independent Redress Mechanism (IRM) and Independent Evaluation Unit (IEU).

2. INVITATION

2.1 Through this request for proposals (RFP), the Fund is seeking to contract a qualified, reputable and experienced company/corporation/firm (the "Firm") to provide Consultancy Services for Independent Evaluation of the Green Climate Fund's Health, Food and Water Security Result Area. The terms of reference (TOR) included in Annex 1 of this RFP herein provides the details of the assignment and expected deliverables.

2.2 Proposals must be submitted to the GCF no later than **Monday, 12 February 2024 at 24.00 hours Korean standard time (KST)**. The RFP includes the following annexes:

Annex 1	Terms of Reference
Annex 2	Requirement for Firm's Proposals
Annex 3	Evaluation Criteria
Annex 4	Company Profile Form
Annex 5	Acknowledgement Letter
Annex 6	Timeline
Annex 7	Model Contract

2.3 Shall the GCF accept your proposal, the terms outlined in this RFP, including all the annexes listed above, shall form part of any contract. Any such agreement shall require compliance



- with all factual statements and representations made in the proposal, subject to any modifications agreed to by the GCF in the context of any negotiations entered into it.
- 2.4 The GCF may, at its discretion, cancel the requirement in part or in whole. It also reserves the right to accept or reject any proposal and to annul the selection process and reject all proposals at any time prior to selection, without thereby incurring any liability to the Bidders.
 - 2.5 Bidders may withdraw their proposal after submission provided that the GCF receives written notice of withdrawal before the deadline prescribed for submission of proposals. No proposal may be modified after the deadline for submission of proposals. No proposal may be withdrawn in the interval between the deadline for submitting proposals and the expiration of the proposal validity period.
 - 2.6 All proposals shall remain valid and open for acceptance for ninety (90) calendar days after the deadline for submission of proposals. A proposal valid for a shorter period may be rejected. In exceptional circumstances, the GCF may solicit the Bidder's consent to extend the period of validity. The request and the responses to that shall be made in writing.
 - 2.7 Effective with the release of this solicitation, all communications relating to this RFP must be directed only to the Head of Procurement by e-mail at procurement@gcfund.org and copy to hngau@gcfund.org. Bidders must not communicate with any other personnel of the GCF regarding this RFP.
 - 2.8 This RFP is issued under the GCF Administrative Guidelines on Procurement 1. Information regarding the guidelines can be found at <https://www.greenclimate.fund/document/corporate-procurement-guidelines>.

3. JOINT VENTURE, CONSORTIUM OR ASSOCIATION

- 3.1 If the Bidder is a group of legal entities that will form or have formed a joint venture, consortium or association at the time of the submission of the Proposal, they shall confirm in their Proposal that:
 - a) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the joint venture jointly and severally,
 - b) if they are awarded the contract, the contract shall be entered into by and between GCF and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.
- 3.2 After the Proposal has been submitted to GCF, the lead entity identified to represent the joint venture shall not be altered without the prior written consent of the GCF. Furthermore, neither the lead entity nor the member entities of the joint venture can submit another proposal:
 - a) either in its own capacity; nor
 - b) as a lead entity or a member entity for another joint venture submitting another Proposal.
- 3.3 The description of the organisation of the joint venture/consortium/association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the Joint Venture Agreement. All entities

¹ Annex II "Corporate Procurement Guidelines on the Use of Consultants"



that comprise the joint venture shall be subject to eligibility and qualification assessment by GCF.

- 3.4 Where a joint venture is presenting its track record and experience in a similar undertaking as those required in the RFP, it shall present such information in the following manner:
- a) Those that were undertaken together by the joint venture; and
 - b) Those that were undertaken by the individual entities of the joint venture expected to be involved in the performance of the services defined in the RFP.
- 3.5 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the joint venture or those of its members but shall only be claimed by the individual experts themselves in their presentation of their individual credentials.
- 3.6 If a joint venture's Proposal is determined by the GCF as the most responsive Proposal that offers the best value for money, the GCF shall award the contract to the joint venture, in the name of its designated lead entity. The lead entity shall sign the contract for and on behalf of all other member entities.

4. REQUEST FOR CLARIFICATION OF RFP DOCUMENTS

A prospective Bidder requiring any clarification of the solicitation documents may notify the GCF in writing to the e-mail address procurement@gcfund.org and copy to hngau@gcfund.org by the specified date and time mentioned in Annex 6. **The subject line of the e-mail MUST have the reference number and title of the RFP.** The GCF shall respond in writing to any request for clarification of the solicitation documents that it receives by the due date published in Annex 6. Written copies of the GCF response, which contain information that may be of common interest to all Bidders (including an explanation of the query but without identifying the source of inquiry), shall be posted on the GCF website and communicated via e-mail.

5. AMENDMENTS TO RFP DOCUMENTS

At any time before the deadline for submission of proposals, the GCF may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective Bidder, modify the RFP documents by amendment. The amendments will also be posted on the GCF website and communicated via e-mail. In order to allow prospective Bidders reasonable time in which to take the amendment into account in preparing their proposals, the GCF may, at its sole discretion, extend the deadline for the submission of the proposal.

6. LANGUAGE OF PROPOSALS

The proposals prepared by the Bidder and all correspondence and documents relating to the proposal exchanged by the Bidder and the GCF shall be written in English. Supporting documents and printed literature furnished by the Bidder may be in another language provided they are accompanied by an appropriate translation of all relevant passages in English. In any such case, the translation shall prevail for interpretation of the proposal. The sole responsibility for translation and the accuracy thereof shall be the Bidder's responsibility.



7. SUBMISSION OF PROPOSALS

a) Submission via E-mail

Two separate files (Technical and Financial Proposals) shall be attached to the e-mail (at procurement@gcfund.org and copy to hngau@gcfund.org) as per the instructions below.

- The technical file shall contain the technical proposal and be named as follows:

RFP 2023/045 – TECHNICAL PROPOSAL - (Name of Bidder)

Please **DO NOT** include any financial information in the technical proposal, as this may result in disqualification.

- The financial file shall contain the financial proposal and be named as follows:

RFP 2023/045 – FINANCIAL PROPOSAL - (Name of Bidder)

- The subject line of the e-mail shall be as follows:

RFP 2023/045 – Evaluate GCF's H-F-W Security Result Area (Name of Bidder)

The Financial Proposal MUST be password protected. The authorised procurement officer will contact the Bidders that pass the qualifying technical score for the password to open the Financial Proposal. Bidders shall NOT send the password to the financial proposal until they are requested to do so by the procurement officer. Financial Proposals that are submitted without password protection may be rejected for non-compliance.

All prospective Bidders are kindly requested to return the completed Acknowledgement Letter of RFP receipt (Appendix 5) by the date indicated in Appendix 6, duly signed by an authorised representative, via e-mail, advising whether they intend to submit a proposal by the designated closing date/time.

Please notify the Procurement Unit immediately if any part of this RFP is missing or illegible.

8. LATE PROPOSALS

Any proposals received by Procurement Unit after the deadline for submission of proposals/Closing Date, prescribed in Annex 6 of this document shall usually be rejected.

9. OPENING OF TECHNICAL PROPOSALS

Technical Proposals will be opened on the date indicated in Annex 6. The purpose of this public opening is to record the names of Bidders having submitted proposals by the due date and time. Only technical proposals will be opened at the public opening.

The financial proposals will not be opened. Bidders submitting proposals are welcome to send one (1) representative, with proper authorisation from their company, to observe the opening and recording of proposals received.

10. OPENING OF FINANCIAL PROPOSALS

The GCF shall notify in writing those Bidders that have achieved the minimum qualifying technical score and request for the password for the Financial Proposals.



11. CORRUPT, FRAUDULENT, COERCIVE, COLLUSIVE AND OTHER PROHIBITED PRACTICES.

The GCF requires that all GCF staff members, Bidders/Tenderers, suppliers, service providers and any other person or entity involved in GCF-related activities observe the highest standard of ethics during the procurement and execution of all contracts. The GCF may reject any proposal put forward by Bidders, or where applicable, terminate their contract, if it is determined that they have engaged in corrupt, fraudulent, coercive, collusive or other prohibited practices.

12. CONFLICT OF INTEREST

In their proposal, Bidders must (i) confirm that, based on their current best knowledge, there are no real or potential conflicts of interest involved in rendering Services for the GCF, and (ii) set out their policy on dealing with conflicts of interest shall these arise.

Specifically, the Bidder must ensure that none of its proposed team members have been involved in any stage of design or implementation of GCF's funded activity or readiness programme, whether directly with the Secretariat in any capacity or indirectly with any of accredited entities, delivery partners and other stakeholders for GCF specific work. The proposed team members shall not be involved in any ongoing work with GCF Secretariat, accredited entities, delivery partners and other stakeholders in the region nor shall they take up any such work in the duration of this contract. If the firm has any current or past contracts with the Secretariat or any of the accredited entities or delivery partners in any role, they shall demonstrate how they intend to safeguard the independence of the team proposed for this evaluation.

13. CONFIDENTIALITY

Information relating to the evaluation of proposals and recommendations concerning selection of Firms will not be disclosed to Firms that submitted proposals.

We look forward to receiving proposals from interested Firms for this Request for Proposal (RFP) by the deadline mentioned above.

Sincerely yours,

DocuSigned by:
Dragoljub Kelecevic

Dragoljub Kelecevic
Procurement Manager

January 16, 2024 | 2:17 PM KST

ANNEX 1 – TERMS OF REFERENCE

Independent Evaluation of the Green Climate Fund's Health, Food and Water Security Result Area

1. AIMS

In October 2023, the Green Climate Fund (GCF) Board, in decision B37/21, approved the 2024 Workplan of the Independent Evaluation Unit (IEU) of the GCF, which includes, among other things, the undertaking of an independent evaluation of the investments linked with the result area Health, Food and Water Security. This Terms of Reference outlines the background, objectives, evaluation questions, methods, and approaches, structure of the evaluation team and responsibilities, alongside timelines and deliverables.

2. BACKGROUND INFORMATION

The Green Climate Fund (GCF) is a multilateral fund established in 2010 to support developing countries' efforts to respond to the challenge of climate change. Paragraph 2 of The Governing Instrument (GI)² states that the Fund will contribute to achieving the objectives of the United Nations Framework Convention on Climate Change (UNFCCC). The GCF promotes a paradigm shift towards low-emission and climate-resilient development pathways in developing countries. As an operating entity of the Financial Mechanism of the UNFCCC, the GCF supports climate change mitigation and adaptation projects and programs in developing countries. The GCF also serves the Paris Agreement on climate change under Article 9, Paragraph 8 of the Agreement, and supports the goal of keeping the average global temperature below 2°C

The Independent Evaluation Unit (IEU) was established by the GCF Board to provide objective assessments of the performance and results of the Fund by conducting independent evaluations of the GCF's activities to guarantee its accountability and synthesize learnings from high-quality and rigorous evaluations to support GCF's effectiveness and efficiency. The IEU is mandated to discharge a dual accountability and learning function³, central to the GCF as a learning organization. This dual accountability-learning function is also laid out in the GI, Evaluation Policy of GCF and the Updated Terms of Reference.⁴ The IEU fulfils this mandate through four pillars⁵:

- **Evaluation**: The IEU undertakes independent evaluations at different levels to inform GCF's strategic results areas and ensures its accountability.⁶ In key cases, it can also support and undertake project evaluations. The IEU uses relevant and innovative methods and an independent peer-review mechanism that provides guidance on independent evaluations. The vision, criteria, and guidelines are being laid out in the independent evaluation policy. The IEU is also mandated to independently peer review and attest to the quality of GCF self-evaluation.⁷
- **Advisory and capacity support**: The IEU advises the Board by synthesizing findings and lessons learned from its evaluations. These findings and lessons inform the Secretariat and other GCF stakeholders.⁸ The IEU engages closely with the independent evaluation units of intermediaries and implementing entities of the GCF, including national designated authorities (NDAs) and accredited entities (AEs). It provides support to catalyse learning

² As annexed to decision 3/CP.17 presented in UNFCCC document FCCC/CP/2011/9/Add.1.

³ Decision B.BM-2021/07.

⁴ Decision B.BM-2021/15.

⁵ Decision B.30/10 2022 IEU Workplan and Budget.

⁶ Decision B.05/03, Annex 1.

⁷ Decisions B.12/12 and B.12/20.

⁸ Decision B.06/09, Annex III.

and build and strengthen NDA and AE evaluation capacity. It also provides guidelines and supports evaluation-related research that helps produce rigorous evidence in GCF result areas.

- ***Learning:*** The IEU supports the learning function of the GCF by ensuring that recommendations from independent evaluations are incorporated into the Secretariat's functions and processes. This includes recommending improvements to the GCF's performance indicators and its initial results framework after accounting for international experience and the evaluation results.⁹
- ***Engagement:*** The IEU actively participates in relevant evaluation networks to ensure it is at the frontier of evaluation practice. The IEU involves its staff and staff from NDAs and AEs in evaluations wherever feasible and appropriate. Also, the IEU supports knowledge hubs of low-emission and climate-resilient pathways.¹⁰

3. THE GREEN CLIMATE FUND'S APPROACH TO HEALTH, FOOD AND WATER SECURITY

Since most agriculture is rainfed in developing countries, climate change directly impacts agriculture by increasing temperatures and changing when and how much and how often it rains. Global farming and food systems require a transformation to adequately build resilience against climate impacts and meet the growing demand for food, especially in developing countries, affecting over 2.4 billion people on 19 million km² of agricultural land. This transformation, as highlighted by the Intergovernmental Panel on Climate Change (IPCC), presents challenges but also opportunities to enhance climate resilience, decrease agricultural CO₂ emissions, and yield various co-benefits. These include reducing ecosystem and land degradation, biodiversity loss, and waste. On the other hand, it can create new jobs, improve value chains in agriculture, enhance nutrition, and provide opportunities for marginalized groups, including women, youth, those in poverty, and indigenous peoples, to manage resources and access services, assets, knowledge, and skills.

In this context, "Health, Food and Water Security" forms one of the eight main result areas of GCF under the overall theme of adaptation. At the end of the thirty-seventh (37th) meeting of the GCF Board (B.37), there were a total of 243 projects approved. Out of these, in accordance with the current classification under the Integrated Results Management Framework (iRMF)¹¹, 120 projects are known to target the 'health, food, and water security' result area. These projects come to a cumulative GCF budget of USD 5.1 billion, and about 23 per cent of this amount has been disbursed so far (USD 1.2 billion).

Among the adaptation themed result areas of GCF, "Health, food, and water security (hereinafter referred to as the "HFW")" is the second largest result area in terms of the number of projects approved and the share of total GCF financing, after Livelihoods of people and communities result area.

Table 1: GCF projects that target health, food and water security (HFW) as a Result Area¹²

CATEGORY		NUMBER OF PROJECTS	APPROVED GCF FINANCING (MILLIONS USD)	APPROVED HFW FINANCING (MILLIONS USD)	AMOUNT DISBURSED (MILLIONS USD)	% OF DISBURSED AMOUNT
Sector	DMA	100	3,572	1,176	910	36

⁹ Decision B.06/09, Annex III.

¹⁰ Decision B.05/03, Annex I.

¹¹ Decision B.29/01

¹² These 120 projects were selected based on their inclusion of the 'Health, food and water security' result area as a targeted component in the project (minimum: 0.9%; maximum: 100%).

	PSF	20	1,555	313	263	18
ESS Category	Category A	5	328	79	49	14
	Category B	66	2,494	813	727	36
	Category C	28	346	149	120	43
	Intermediation 1	6	946	181	157	15
	Intermediation 2	13	971	248	101	12
	Intermediation 3	2	42	19	19	38
	Project Size	Large	15	1,998	407	327
Medium		38	1,786	565	388	30
Small		49	1,189	461	385	33
Micro		18	154	56	74	50
Entity Type	International	91	4,105	1,125	1,001	34
	Regional	14	630	213	51	15
	National	15	392	151	121	44
Entity Access Type	IAE	91	4,105	1,125	1,001	34
	DAE	29	1,022	364	172	30
GCF Region	Africa	51	2,017	619	420	33
	Asia-Pacific	42	1,394	479	400	39
	Latin America and the Caribbean	18	666	193	130	25
	Mixed Regions	7	1,008	183	207	18
Priority Groups*	African States	58	3,025	802	627	31
	LDCs	62	3,157	852	679	29
	SIDS	32	1,600	448	467	35
	Others	30	1,207	344	243	29

Note:

Data analysis by IEU's DataLab based on GCF's project portfolio dashboard (<https://www.greenclimate.fund/projects/dashboard>) as of B.37.

*Priority groups are not mutually exclusive; one project could target or belong to multiple priority groups in the Funding Proposal (FP).

At the 4th meeting of the Board held in June 2013, references to HFW were made for the first time, and this is reflected in the relevant documents of this Board meeting. The document, "Business Model Framework: Objectives, Results and Performance Indicators" presented potential result areas for the GCF. Its annex provided preliminary names of relevant result areas as "Agriculture / food / forests", "Water and hydro", and "Social and Health", respectively.¹³

¹³ GCF/B.04/03 <[gcf-b04-03.pdf \(greenclimate.fund\)](#)>

At the 7th meeting of the Board held in May 2014, as a part of the initial management framework of the Fund, the result areas of “Increased resilience of health and well-being, and food and water security” and “Increased resilience and enhanced livelihoods of the most vulnerable people, communities, and regions” were adopted by the Board.¹⁴

Finally, the result area “Health, Food and Water Security (HFW)” first appeared in GCF documents for the 9th meeting of the Board held in March 2015. The document titled “Analysis of the Expected Role and Impact of the Green Climate Fund” introduced eight result areas which were derived from the decision adopted at the 7th meeting of the Board, held in May 2014.¹⁵

During the 9th meeting of the Board, The Secretariat suggested five potential investment priorities that have strong potential of impact investment opportunities for the Fund. Among the five potential investment priorities, the HFW result area was most relevant to the following:

- a) **Sustainable climate-smart agriculture** involves the Fund's support for mitigation and adaptation. It engages private and community actors, especially aiding smallholder farmers, and encourages agribusiness practices for mitigation, broader food security, and adoption of climate-smart agriculture. Alignment with national priorities and adherence to safeguards are crucial for effective engagement;
- b) **Scaling up finance for forests and climate change** focuses on addressing REDD+ funding limitations. The Fund aims for targeted, significant interventions, emphasizing mitigation and data ecosystem services. Operationalization aligns with COP guidance, and additional finance may sustain commitment in select countries. Collaborating with stakeholders shapes incentives for sustainable land use and forest management and;
- c) **Enhancing resilience in the SIDS** involves an integrated approach, addressing policy barriers and financing wind and solar technologies. The Fund supports enabling technologies like storage and smarter grids, emphasizing innovations in key technologies. It also contributes to upscaling financing for cleaner cook stoves and lighting in Asia and Africa, employing innovative business models and partnerships for impactful contributions.

Food and water disruptions as a result of climate change may in turn result in health impacts; these three result areas are thus interconnected. The HFW result area emphasizes “no regrets” entry points, urging support for environmentally and socially sustainable climate-smart agriculture to mitigate food security risks and alleviate water supply pressures. Furthermore, it highlights the importance of enhancing city resilience, particularly in Asia, Africa, and Latin America, through improvements in water sanitation and management systems. Finally, the HFW result area provides directions for the Fund to focus on improving water management infrastructure and supporting ecosystem management at regional and transboundary levels as part of its strategic goals.

In August and September 2022, the GCF released sectoral guides for Health and Wellbeing, Water Security, and Agriculture and Food Security. Each sectoral guide presents its distinct transformational pathways for the sectors within the Health, Food and Water Security result area that can deliver significant and paradigm shifting impact, through two or more pathways.

The sectoral guide on Health and Wellbeing has following transformational pathways:

¹⁴ Decision B.07/04

¹⁵ GCF/B.09/06

- **Promoting climate-resilient health systems and services** focuses on anticipating, responding to, coping with, recovering from, and adapting to climate-related shocks and stress.
- **Facilitating climate-informed advisory and risk management services and community action** focuses on integrating climate data into health decision support tools, enhancing climate-related risk knowledge through risk assessment and developing plans and projects that enable community engagement in designing and implementing health adaptation options.

The sectoral guide on Water Security has following transformational pathways:

- **Enhancing water conservation, water efficiency and water-reuse** focuses on managing demand and introducing a new water asset class via blue finance and credit enhancement to the market.
- **Strengthening integrated water resources management-protection from water-related disasters, preserve water resources and enhanced resilient water supply and sanitation services** focuses on preserving existing water resources, identifying new sources of water supply, and protecting communities from water related hazards.

And finally, the sectoral guide on Agriculture and Food Security has following transformational pathways:

- **Promoting resilient agroecology** focuses on integrating climate-resilient plant varieties, innovative adaptation practices, and financial mechanisms to address regional climate hazards, building resilient communities, particularly among smallholder farmers, through enhanced farming systems and practices, emphasizing financially viable, climate-resilient, and low-emission agriculture to mitigate climate change impact on productivity.
- **Facilitating climate informed advisory and risk management services** focuses on providing farmers with crucial information to enhance resilience to climate change, reduce transactional costs, improve production standards, and strengthen agricultural economies, especially when integrated with risk management interventions like insurance and social protection programmes.
- **Reconfiguring food systems** focuses on diverse interventions, from farm to consumer, promoting sustainability, reducing emissions, and ensuring global food security.

In each of these areas, the GCF intends to achieve results by:

- a.) Supporting transformational planning and programming;
- b.) Catalysing climate innovation;
- c.) Mobilizing funds at scale; and
- d.) Sharing knowledge of successful innovations and funding mobilisation efforts at scale and engaging regional/global platforms to promote learning and supporting private sector actors in mainstreaming climate risk in business models.

The three (3) sectoral guides for 'Agriculture and Food Security,' 'Water Security,' and 'Health and Wellbeing' cover 119 projects, 108 of which overlap with those of the result area for 'Health, Water

and Food Security.’¹⁶ These projects come to a cumulative GCF budget of USD 5.4 billion, so far of which 23.4 per cent has been disbursed (USD 1.3 billion).

Table 2: A breakdown of GCF projects listed under 'Agriculture & Food Security' as per the GCF Sectoral Guides

CATEGORY		NUMBER OF PROJECTS	APPROVED GCF FINANCING (MILLIONS USD)	AMOUNT DISBURSED (MILLIONS USD)	%OF DISBURSED AMOUNT
Sector	DMA	79	2,906	626	34
	PSF	13	1,158	219	24
Project Size	Large	10	1,573	228	14
	Medium	32	1,517	293	29
	Small	33	807	238	32
	Micro	17	166	86	51
Entity Access Type	IAE	71	3,268	712	32
	DAE	21	795	133	35
GCF Region	Africa	42	1,696	366	36
	Asia-Pacific	31	1,033	212	33
	Latin America and the Caribbean	12	470	77	23
	Mixed Regions	5	823	173	21

Note:

Data analysis conducted by IEU's DataLab based on iPMS as of B.37

¹⁶ The 119 projects were selected based on their inclusion in the sectoral guides for 'Water Security,' 'Health & Wellbeing,' and 'Agriculture & Food Security' (minimum: 6%; maximum: 100%). Data was collected on 30 November 2023 from the 'iPMS - General' dataset.

Table 3: A breakdown of GCF projects listed under 'Water Security' projects, as per the GCF Sectoral Guides

CATEGORY		NUMBER OF PROJECTS	APPROVED GCF FINANCING (MILLIONS USD)	AMOUNT DISBURSED (MILLIONS USD)	%OF DISBURSED AMOUNT
Sector	DMA	38	1,569	410	34
	PSF	6	461	33	4
Project Size	Large	6	659	64	20
	Medium	17	909	207	31
	Small	17	432	170	33
	Micro	3	22	2	27
Entity Access Type	IAE	33	1,468	370	33
	DAE	11	563	73	18
GCF Region	Africa	15	870	135	22
	Asia-Pacific	16	455	193	44
	Latin America and the Caribbean	9	353	82	27
	Mixed Regions	3	338	33	8

Note:

Data analysis conducted by IEU's DataLab based on iPMS as of B.37

Table 4: A breakdown of GCF projects listed under 'Health & Wellbeing' projects, as per the GCF Sectoral Guides

CATEGORY		NUMBER OF PROJECTS	APPROVED GCF FINANCING (MILLIONS USD)	AMOUNT DISBURSED (MILLIONS USD)	%OF DISBURSED AMOUNT
Sector	DMA	12	763	86	20
	PSF	3	378	71	36
Project Size	Large	3	587	50	8
	Medium	6	408	71	30
	Small	4	95	15	15
	Micro	1	25	21	83
Entity Access Type	IAE	11	889	127	27
	DAE	4	252	29	12
GCF Region	Africa	4	232	44	28

	Asia-Pacific	6	350	107	36
	Latin America and the Caribbean	3	171	6	6
	Mixed Regions	2	387	0	0

Note:

Data analysis conducted by IEU's DataLab based on iPMS as of B.37

4. LEARNING/KEY FINDINGS FROM IEU'S EVIDENCE REVIEW: WATER

The IEU recently completed an evidence review on coastal and terrestrial water sector interventions. From an exhaustive search of 18,751 studies and the application of key inclusion criteria, the review highlighted 172 causal studies that were displayed in an evidence gap map¹⁷. The evidence gap map shows that the most frequently assessed interventions are nature-based options (n =38) followed by built infrastructure (n = 30) and institutional interventions (n = 25). The least reported interventions were behavioural and coastal interventions, which were reported in seven and three studies, respectively.

Most interventions measured adoption or adaptive capacity. Detailed meta-analysis of identical intervention/outcome combinations suggests water-efficient irrigation systems and ecosystem-based management interventions stand out as particularly valuable tools for enhancing adaptive capacity, warranting specific attention. The scarcity of studies, particularly on coastal interventions, highlights a key evidence gap which needs addressing urgently.

5. OBJECTIVES AND EVALUATION QUESTIONS

In October 2023, the Green Climate Fund (GCF) Board, in decision B.37/21, approved the 2024 Workplan of the Independent Evaluation Unit of the GCF, which includes, among other things, the undertaking of an independent evaluation of the Green Climate Fund's result area Health, Food and Water (HFW) Security. The evaluation is expected to serve a learning and accountability function and inform the decision making of the Board.

The evaluation will consider several key questions, inter alia:

- **Responsiveness/relevance of the GCF's HFW Result Area to its Mandate:**
 - Has the GCF's HFW result area and investment in HFW been responsive to the GCF mandate and guidance from the UNFCCC Conference of the Parties?
 - How relevant is the GCF's HFW result area to the Fund's mandate and mission?
 - How relevant/responsive is the GCF's approach and investment in HFW to countries, affected communities and beneficiaries?
 - To what extent does GCF's approach to and investment in HFW take into account just transition and equity principles, if any?
- **Comparative advantage and value addition of GCF:**
 - Does the GCF's architecture allow for delivery of its mandate vis-a-vis the result area HFW?

¹⁷ See <https://ieugreenclimate.fund/sites/default/files/page/evidence-review-coastal-and-terrestrial-water-sector-interventions-developing-countries-22-08-2023.html>

- What is the GCF's comparative advantage in the context of HFW compared to other multilateral climate funds?
- How effectively does the GCF bring its additionality into HFW sector projects (in particular, across the different world regions and vulnerable communities)?
- **Policy Framework of the GCF in HFW Result Area/Sector:**
 - Does the policy framework of the GCF enable effective operations of the HFW result area and HFW sector projects?
 - Does the policy and governance framework of the GCF provide sufficient guidance relating to the nature of programming and operations to be undertaken in HFW result area/sectors?
 - Does the GCF's policy framework provide a means to bring about a paradigm shift in HFW result area/sectors?
 - Are the GCF's relevant result area and sectorial guidance sufficient and effective, compared with the sector approaches or classifications used by other climate funds and multilateral banks?
 - How the Fund's Environmental and Social Safeguards are considered in the approach to the HFW result area and the projects in the HFW result area?
- **Operations and Project Cycle:**
 - What is the GCF's approach to project origination, and is it relevant to the needs of HFW result area/sectors in developing countries?
 - How do the GCF-supported programmes and projects in the HFW result area respond to the adaptation-themed mandate of the GCF, in particular?
 - How relevant and useful is the GCF's sectoral guide for the HFW result area/sectors?
 - Is the GCF Secretariat well oriented and does it have the capacity to support the HFW result area/sector projects through their life cycle?
 - Has the support provided by the GCF Secretariat in the approval and implementation process of HFW result area/sector projects (readiness support, project preparation facility, portfolio management support, sector guidance notes, thematic briefs, and Secretariat staff support) been suitable and effective?
 - To what extent have the GCF projects complied with the Fund's Environmental and Social Safeguards?
 - To what extent have GCF projects in the HFW result area considered local knowledge when they are developed and implemented?
 - How have GCF projects in the HFW result area ensured local engagement when they were developed and implemented?
 - What are the perceived risks during the implementation of the projects in the HFW result area?
- **Results and Impacts:**
 - What results and impacts have been achieved through GCF's interventions in the HFW result area to date?
 - What paradigm shift has been emerging at the portfolio level? What are lessons learned from completed or ongoing projects?
 - Have these projects been effective in achieving the climate goals of the countries (enhancing food and water security and promoting health, co-benefits, linkages to mitigation, and a paradigm shift or a transformational change)?
 - What is the likelihood of the results of the ongoing GCF's HFW projects?
 - What are the key implementation challenges of GCF's HFW projects? How has the GCF used the perceived challenges to inform project restructuring?

- Have the GCF projects in the HFW result area/sector been able to bring about changes in the practices and priorities of AEs and other stakeholders in developing countries?
- To what extent do the GCF's HFW result area/sector-related projects respond to the need to integrate gender and indigenous peoples' considerations?
- To what extent do the GCF's HFW result area/sector projects comply with the GCF's environmental and social safeguards?
- How has the GCF's approach and investment in HFW result area/sectors considered the sustainability of results and economic/social co-benefits?
- How has the GCF ensured the learning cycle: how have the results/challenges of completed/ongoing projects informed future project originations?

Also, this evaluation will assess the following areas with a special focus:

- **GCF's approach to the paradigm shift in HFW:**

- To what extent has the GCF considered the paradigm shift at the portfolio/result area/sector (s) level? What are perceived as the key factors for achieving the paradigm shift in a systematic way?
- To what extent has the GCF been able to foster innovation and deploy diverse financial instruments for HFW result area/sectors?
- How has GCF taken the balance between the risk appetite and innovation in its approach to the HFW sector?
- To what extent has the GCF been playing a catalytic role in promoting innovative approaches to crowd in the climate finance in HFW sectors to achieve the countries' climate goals?
- To what extent has the GCF been promoting innovative technologies in HFW sectors to achieve the climate goals and ambitions of developing countries?

- **Co-benefits and unintended result:**

- Is the co-benefit of HFW identified appropriately at GCF?
- How have the co-benefits as the development pathways/results of GCF's HFW projects been centred and interlinked with the climate focuses? Is there any systematic approach in place at the GCF?
- Have the GCF's investments in HFW been creating co-benefits in countries or not? If yes, how?
- Have the GCF's investments in HFW been creating unintended results (ex. adverse environmental effects, positive development effects and etc)? If yes, how?
- Are there any systematic efforts to track and monitor the co-benefits and unintended results from the projects in the HFW result area?

- **Potential areas of investment that GCF could bring in HFW:**

- What are the potential areas of investment/interventions that GCF could make in HFW sectors? What can be new areas of investment that the GCF can potentially cover through investment in HFW?
- Does HFW have the potential to contribute to the area of climate change mitigation and/or loss and damage, in addition to adaptation?
- How are the (potential) impacts of HFW investments related to mitigation impacts or other economic, environmental, and social co-benefits, if any?

These questions will be further refined and elaborated during the inception phase of the evaluation. The independent evaluation will use the evaluation criteria established by the GCF Board for the IEU.¹⁸ These include:

- (i) Relevance, effectiveness, efficiency, impact, and sustainability of projects and programmes;
- (ii) Coherence in climate finance delivery with other multilateral entities;
- (iii) Gender equity;
- (iv) Country ownership of projects and programmes;
- (v) Innovativeness in result areas (extent to which interventions may lead to a paradigm shift towards low-emission and climate resilient development pathways);
- (vi) Replication and scalability – the extent to which the activities can be scaled up in other locations within the country or replicated in other countries; and
- (vii) Unexpected results, both positive and negative.

The evaluation will analyse these criteria in a customized manner for this particular evaluation. Overall, the evaluation will assess the relevance and effectiveness of the GCF's approach to HFW and investments in the result area/sectors so far and will also inform any prospective strategy, policy or guidance on the result area and the relevant sectors. As such, the evaluation will be both summative and formative.

The evaluation is expected to inform the GCF's HFW result area and the relevant sectoral guides in the future. Therefore, the evaluation will provide extensive analyses on the results so far, as well as scenarios for future strategies of the GCF.

Ultimately, the evaluation will contribute to the GCF's accountability by reviewing evidence on the performance and likelihood of the impact of GCF's approach to and investment in HFW. The overall assessment will examine what is working, how and for whom, while identifying lessons learnt to inform the overall performance of the GCF.

6. METHODS AND APPROACHES

The evaluation will adopt a utilization-focused approach and framework, with an objective to be useful to its intended users in terms of providing learning, informing decision-making, and improving performance overall. The IEU, the GCF Board, the Secretariat, other independent units, NDAs/FPs, CSOs/PSOs, AEs, DAEs, and other delivery partners are identified as key actual and potential users of this evaluation. In line with the overall utilization-focused framework, the selected team will work closely with relevant stakeholders to ensure the evaluation is appropriately participatory, consultative and engaging. The evaluation team will ensure that key stakeholder representatives participate in this evaluation in various ways so that their insights are well integrated and that the evaluation recommendations are useful to and owned by them.

This evaluation will employ standard evaluation methods. The selected team will deploy several approaches, methods, and tools to focus the review on utilization and learning, to ensure stakeholder participation in key steps in the process, and to deliver rigorous and credible findings. Overall, this evaluation will use a theory-based, mixed-methods approach and will include both qualitative and quantitative methods for data collection, dataset building, and data analysis. Key methods for data gathering may include programme, programme theory analyses, policy and project document analyses, review of the literature (including from comparator organisations and the peer-reviewed literature), synthesis of past evaluation reports (and case studies), portfolio-, and sub-portfolio analyses using detailed and comprehensive data collected on GCF projects by the

¹⁸ See Decision B.06/09.

IEU, online survey(s), semi-structured interviews, focus group discussions, and a series of country case studies through virtual means or in-person field visits.

An evaluation matrix will be developed during the inception phase and will include detailed evaluation questions. Each evaluation question will be answered through a systematic and traceable use of all relevant information sources in a way that maximizes the triangulation of evidence and consequently the representativeness and credibility of the evidence and recommendations as well as an indication of where the evaluation did not have sufficient evidence or where the evaluation is not as confident about the evidence.

The evaluation consists of four main parts, which also coincide with the four (4) stages of the evaluation plan. These are as follows:

- Stage 1: Inception, planning and (revised) approach paper/inception report along with an evaluability assessment;
- Stage 2: Data collection, data management, and initial analyses
- Stage 3: Analyses, factual draft, and evidence tree; and
- Stage 4: Final Report, including recommendations, along with key knowledge dissemination and communication products.

Stage 1: Inception, Planning and Final Inception Report

The inception period will serve the purpose of ensuring that preparations and planning could be undertaken appropriately.

- 1) The Team Leader of the selected team (along with Firm's key members) might be asked to conduct an **inception mission** to meet with IEU team and to have inception interviews with the key informants from the GCF including those at the Secretariat of GCF and the Independent Units, depending on the level of the understanding of GCF. These meetings will provide the Team Leader the opportunity to define clearly shared priorities for this evaluation, establish working relations, develop common systems, discuss division of labour, discuss sample sizes and selection for qualitative work, support the production of an evaluation info brief and generally launch the evaluation process. This will, in turn, inform the refinement of the evaluation matrix and more refined planning for the next phases of the evaluation process. This process will also determine the key methods to be used including theory-based mixed approaches, a discussion around a hypothesized theory of change and comparators to be used for benchmarking. Finally, this step will help the team develop an understanding of the expectations and processes of the IEU, 'integrate' themselves into the IEU, and establish a strong relationship for the remainder of the evaluation.
- 2) A preliminary **document review will be undertaken** early in the assignment to ensure that the selected team is familiar with the document landscape of the GCF as relevant for the review. This review will include Board decisions, reports and discussions, relevant audits and evaluations, funding proposals, concept notes, readiness proposals, Country Programme documents, National Adaptation Plans, Project Preparation Facility documents, portfolio reports and templates, among others. A document guide (i.e. a structured bibliography) will be created to continually update the bibliography in real-time. This preliminary document and portfolio review will serve an evaluability function, informing the selected team about the documents and data available for this review. It will enable the selected team to better understand the different programmes within GCF in general and key energy sector issues in particular. It will also provide initial insights into the strengths and limitations of the existing data and documents. The document review will be further developed and continued during the next phase. A review of the literature from other academic and non-academic papers that showcase the challenges, solutions, and innovations in the energy sector is also expected during this time. Also, this evaluation will

review evaluations of the energy sector portfolio and approach from other evaluations offices of climate funds and multilateral organizations. The IEU has found a review of the literature to be especially useful in developing a clear understanding of the normative principles that inform the evaluation.

- 3) **An evaluation matrix** will form part of the backbone of this evaluation and will be finalised during inception. The evaluation matrix will include a series of sub-questions and indicators. Furthermore, the matrix will include various analytic approaches matched to evaluation questions, as well as data sources. The matrix will be further informed by inception meetings, and data collection, as well as through a preliminary document review. This is under preparation at the IEU.
- 4) During inception, the project team will undertake the **preparation of data collection and management tools**, directly informed by the evaluation matrix. The tools will include document review protocols, field interview protocols, interview protocols, adaptable to different categories of stakeholders; meta-analysis and benchmarking tools, as well as an online survey and other guides as appropriate. These and other data collection tools will be piloted, tested and revised for subsequent deployment. It will be important to develop tools such as tracking systems for the status of interviews and reports from interviews.
- 5) The evaluation will use a **purposive sample to identify countries for field missions** and to identify stakeholders to be interviewed individually and in Focus Group Discussions. For the purposes of sampling, the evaluation will use a pre-defined set of criteria to select case study countries and types of stakeholders to be consulted. During this phase, representatives of the evaluation will undertake a pilot field mission to a country of focus for piloting the evaluation matrix and the data collection approach of the team. This will inform the approach of the team to the remaining field missions and the refinement of the proposed methodology and overall work plan. The sample will be prepared by the IEU.
- 6) Finally, the selected team will lead the drafting of the **Inception Report/approach paper**, which is an important tool for the evaluation, and thus every effort will be made to ensure that it reflects the management requirements and methodological needs of the evaluation. The final revised Inception Report/approach paper will include a refined evaluation matrix, which will, in turn, be used for the development of data collection tools. The Inception Report/approach paper will also outline the plan for country visits as per the proposed sampling approach. Overall, the report will provide a comprehensive roadmap for the evaluation as a whole.
- 7) Key outputs at the end of Stage 1 will include:
 - a) An inception mission (optional)
 - b) Data collection tools and protocols to apply to country missions and KII interview reports.
 - c) An evaluation matrix informed by an evaluability assessment.
 - d) An inception report/approach paper (final).

Stage 2: Data Collection and Analysis

Immediately following the conclusion of the Inception Phase, the evaluation will move into the second phase of data collection. Data will be collected through a number of qualitative and quantitative methods and will be complemented by the IEU Datalab. The following data collection may be considered and additional/innovative methods are welcome.

The second part of the document review will build upon the preliminary document review undertaken during the inception. It will expand the coverage of documents reviewed and will include the grey and scientific literature. This document review, drawing on a multiplicity of sources, will inform every component of the methodology for this review. These documents include

GCF-specific programme documents, process-related documents. This will also contribute to an annotated bibliography of published literature in peer-reviewed journals.

Additionally, relevant external documentation will be used to inform the meta-analysis and benchmarking exercise, including documentation about the approach of comparable organizations in this space. Energy sector evaluations of multilateral funds will be considered.

The selected team will also work to develop, refine and draw upon the IEU DataLab. The data from the DataLab will serve to inform the team's understanding of the overall GCF portfolio, inform country sampling, and most importantly, support portfolio and sub-portfolio analyses in a substantial way. The IEU DataLab is a powerful tool and a cornerstone for high-quality evidence for the current evaluation and for prospective IEU evaluations.

During this phase, the selected team will **schedule and undertake a series of interviews** with key, selected stakeholders who are well-positioned to provide insights into the questions and sub-questions of this assignment. Additional interviews will be undertaken with some external key stakeholders with a good understanding of the GCF or the broader climate finance landscape. In some cases, these interviews will be planned *a priori*, while for others they will be opportunistic, or the result of snowballing, purposive, and data-driven sampling. Innovative methods are expected in undertaking of interviewees.

Typically, each interview is attended by a **two-member team including an IEU and an external team participant from the selected Firm**. The report from each interview will be managed according to the data management established during the Inception Phase.

Building on the first pilot country case study, the team will undertake **4-5 field missions** in selected countries¹⁹ with the aim of collecting detailed information to address the range of questions in the evaluation matrix. The selected team shall be prepared to organize and lead the country missions with IEU. It is expected that the evaluation will ensure the triangulation of evidence from the engagement with a multiplicity of stakeholders in-country with evidence gathered through other methods. The country case studies will serve as stand-alone reports and will be included as appendices to the final report. The case studies may be virtual or in-person, depending on the context of the countries and the availability of national consultants/stakeholders. At this point of time, five to six country case studies, including the pilot one, are expected.

The evaluation will potentially build a normative **theory of change/action** for HFW approach of the GCF. This theory of change will be developed through various data sources (literature, interviews, process reviews) and will then be informed through data collected on field missions as well as in-depth interviews. Overall, this step will also be used to develop and clarify the **normative standards** for this evaluation. The theory of change shall be built on IEU's past evaluation and learning work such as the Independent Evaluation of the adaptation approach and portfolio of GCF²⁰, the evidence gap map: adaptation²¹ and the evidence review: water²².

The evaluation will consider a supplemental approach to gather perceptual data from stakeholders such as the online survey. It may be expected that different survey instruments are administered for diverse stakeholder groups. The evaluation team is expected to take an innovative approach to collect the required data through the survey.

Importantly, the evaluation team (the IEU and selected team) will undertake **data analysis** that will include the following but not limited to: Funding Proposals, Accreditation, Annual Performance Report, Funding Activity Agreements, Reports of the Investment Committee, independent Technical Advisory Panel and Secretariat, Accreditation Master Agreements, Concept Notes, CSO comments. Further, the evaluation will analyse data pertinent to GCF funding windows *viz.*, thematic (adaptation, mitigation, cross-cutting) and modalities (readiness and preparatory

¹⁹ The country selections will be made together with the Firm and IEU as an evaluation team

²⁰ <https://ieu.greenclimate.fund/evaluation/adapt2021>

²¹ <https://ieu.greenclimate.fund/evidence-review/adaptation#key-documents>

²² <https://ieu.greenclimate.fund/evidence-review/water>

support programme, private sector facility, request for proposals). Data will also be disaggregated for direct access entities and international entities. This step will also include analysis of external databases that may become available during the course of the evaluation.

A landscape analysis (assessment of needs/ strategic areas for the GCF) and benchmarking assessment may provide important information for this strategic evaluation.

The evaluation will include a review and synthesis of information specific to HFW result area included in the **previous IEU evaluations**, and it will also be informed by concurrent IEU evaluations. Specifically, it is expected that some country mission reports from previous IEU evaluations will yield valuable data to inform this evaluation. Such analysis will provide valuable information to this evaluation and are to be included. The evaluation will also be informed by concurrent IEU evaluations such as evaluation of GCF's approach to LAC and the evaluation of GCF's approach to Indigenous Peoples.

It is expected that the evaluation will continually provide updates on the progress in several ways to key stakeholders (e.g. conferences, GCF events, etc) to ensure that the evaluation is socialized within the GCF community and to ensure that the evaluation is operationally and substantially on track. The selected team is welcome to propose the use of innovative approach to collect and analyse the required data (ex. AI tool).

Key outputs in Stage 2 will include:

- A landscape analysis and a benchmarking assessment
- Syntheses of IEU's past evaluations
- Presentation(s) of the data analysis results
- Country mission reports that are circulated to countries and finalized
- An initial evidence tree (questions and data used to answer these)

Stage 3: Analyses and Factual Draft

The third (3rd) stage of the evaluation comprises the synthesis of data analysis, report writing and the delivery of a presentation. The evaluation will undertake a **process of data analysis and synthesis** rooted in a triangulation of all data sources. Trends and outliers in the data will be identified, with respect to programme activities, regional disparities, and others.

Findings and recommendations emerging from the evaluation will be drafted taking into account scenarios of recommendation up-take, and potential evolutionary paths for the Fund, guided by the normative standards. In doing so the evaluation will be situated within the wider institutional and programmatic landscape and planning of the GCF.

Key outputs at the end of Stage 3 will include:

- a) The factual report, that is also circulated to the secretariat and other relevant stakeholders.
- b) Presentation of preliminary findings.
- c) Presentation of map for structuring, exploring, summarizing findings, conclusions and (potential) recommendations to actions

Stage 4: Final Reporting

During the final stage of the evaluation, the evaluation report and its associated products will be finalized. After receiving comments on the factual report, the team will prepare the **final report** of the evaluation to share with IEU. This report will include recommendations.

The communication and dissemination of the evaluation report will include webinar(s), presentation(s) to the GCF Board and other stakeholders, and evaluation briefs. Other products

may include side-event during GCF Board meeting, and additional communication products. The team will be expected to remain available to support these processes and products.

The IEU will ensure that the findings, recommendations, and lessons learned from the evaluation are disseminated and shared with a wide audience, including energy practitioners in a manner that is informative, engaging, and accessible. The stakeholder mapping will be used to guide the dissemination of the report. The Evaluation team will organize virtual or in person workshops at different stages of the evaluation with the Secretariat, the GCF board, and other key stakeholders.

Other presentations could be organized at a global level, such as during relevant conferences and evaluation events. The communication and dissemination of the evaluation report will include webinar(s), presentation(s) to the GCF Board and other stakeholders, and evaluation briefs. Other products may include side-event during GCF Board meeting, and additional communication products. The firm members will be also expected to remain available to support these processes and products.

Key outputs at the end of Stage 4 will include:

- Final report, including recommendations, annexes²³ of the report (and fully addressing of all comments and suggestions provided in different round of reviews)
- Presentation of evaluation findings and recommendations to relevant stakeholders within the GCF
- Support for knowledge dissemination and communication product,²⁴ and socialization of the evaluation.
- Structure of the evaluation team and responsibilities

The evaluation team will include the external team hired through this Request for Proposal (RFP) and the IEU team. Together, the evaluation team will be under the direction and overall leadership and responsibility of the Head of the IEU. The evaluation will be led and managed by IEU senior staff. It will include members of the IEU DataLab, the selected team to be contracted under this RFP, and will also be informed by teams undertaking other concurrent IEU evaluations.

The responsibilities of the selected team will involve finalizing the Inception Report/Approach Paper including the evaluation matrix, annotated review of documents, data needs, analytical tools (instruments, protocols), and the final report outline; being active part of evaluation workshops and meetings; collecting the new data required by the evaluation in the sampled countries as well as with key informants, directly as well via online tools and triangulate and validate the findings, and drafting the zero-draft and intermediate reports that will lead to the final report including the conclusions, recommendations, Executive Summary and all annexes, and the communication products to be detailed in the Inception Report.

The team will be particularly expected to add significant value in terms of the lessons learned and the formative and summative aspects of the evaluation, overall deriving from the evidence gathered during the evaluation. Managerially, it is expected that key members of the team will participate in virtual weekly meetings with the IEU, and these may increase in frequency close to the finalization of the report.

²⁴ All photographs, GIS coordinates and other data collected (both quantitative and qualitative) will be the property of the IEU, and shall be duly submitted to the IEU.

The external team is expected to coordinate amongst the team members to accommodate the time-zone differences and to adjust the working hours to Korean Standard Time when scheduling the meetings with the IEU team.

The IEU will take ownership of the evaluation and will hold overall responsibility and accountability for the management and delivery of the evaluation up to and including approval of the final report. It will provide guidance to the selected team throughout the implementation of the evaluation up to and including design, data collection, analysis, and drafting. Therefore, the IEU will co-develop and co-write the evaluation questions, methods and reporting. The IEU will further facilitate access to GCF data.

This will include portfolio level data from the GCF provided by the DataLab, facilitation of interviews with GCF Board members, staff, and other internal stakeholders, facilitation of online survey, access to GCF and IEU documents, and networks with the external stakeholders. The IEU will also launch introductions with NDA/ Focal Points, in order to launch the country missions. The IEU DataLab will provide support for the analysis of data described above, while members of the IEU will be active participants in interviews and field missions. The IEU will also lead review of the evaluation draft report, including facilitating discussion and management responses. Finally, the IEU will lead the preparation and publication/ delivery of communication products.

7. TIMELINE AND DELIVERABLES

This evaluation shall involve desk studies and virtual or in-person fieldwork and interviews. For this assignment, it is vital that the contracted team communicates closely with and consults the IEU on a regular basis. **The estimated length of the evaluation is spread over the period of February 2024 to December 2024.** The final report needs to be completed at the latest by 15 November 2024, with follow-up engagement for the first Board meeting to take place in 2025 (likely in March 2025) where this evaluation will be tabled. The timeline for this evaluation is expected to be as follows:

- February – March 2024: Commencement of assignment and start of the assessment work (the start date is subject to change due to procedural issues).
- March – April 2024: Drafting of the approach paper. Approach webinars for key GCF stakeholder groups.
- April 30, 2024: Approach paper finalized.
- May – August 2024: Data gathering and analysis, review, interviews and consultations. Virtual or in-person country missions, depending on travel and related health and other alerts at that time.
- August 15, 2024: Factual draft report (including finding statements)
- September 30, 2024: Draft final report submitted to IEU (including findings and recommendations) along with country mission reports submitted after review of the NDA. Draft report webinars for key GCF stakeholder groups (webinars on emerging findings)
- November 15, 2024: Final report submitted to IEU
- December 2024: Submission of the final report to the Board of GCF
- November to February 2025: Socialization and dissemination of evaluation results and findings. Inputs into draft communications and knowledge products such as evaluation briefs and summaries. Final report webinars for key GCF stakeholder groups (webinars on final conclusions and recommendations)

The above timeline may be subject to change to accommodate procedural and emerging issues, especially in line with decisions to be made at the GCF Board meetings of 2023 and 2024, and a certain degree of flexibility is expected from the external team. The timeline shall be agreed and finalized during the initial stage of the work in the approach paper. The external team will work closely with the IEU throughout the evaluation cycle and especially in the final drafting stage, which is expected to be iterative.

8. CRITERIA FOR SELECTION FIRM'S PROPOSED TEAM FOR THE PROJECT

The Contractor's proposed team shall have the following expertise, experience, skills and qualifications:

- (a) Strong in-team expertise and experience in evaluations at the strategic, corporate, and program level, strategic evaluations, statistical analysis, data extraction and data analysis.
- (b) Strong in-team expertise and experience in climate change evaluations, especially in the context of the climate adaptation with the focus of agriculture/food, health, and water security in a way that promotes sustainable development and climate resilience.
- (c) Strong expertise and experience in the international development in agriculture/food, health and water sectors, innovation, climate finance, and private sector investment in developing countries.
- (d) In-depth experience with mixed methods, in addition to pure qualitative and quantitative methods, strategic evaluations, and counterfactual methods.
- (e) At a minimum extreme familiarity with tools referring to evaluation data collection methods, such as focus-group discussions, semi-structured interviews, synthesis tools, apart from quantitative capabilities as well as ability to engage with geospatial data. The team will be recommended to use the tools previously used by the IEU for qualitative and quantitative data.
- (f) Access to country-based consultants, in case of virtual missions to countries.
- (g) Able to suggest and undertake innovative data collection methods that take into account practical challenges.
- (h) Desirable qualifications include consideration of gender diversity, ability to work in multiple languages, ability to travel, and responsiveness.
- (i) Able to commit that they will be able to produce a highly credible, well-written evaluation report in the budget and time period requested.

Note: The evaluation report shall be co-owned by and a product of the IEU.

Table 5: Assignment Schedule

				B.37			B.38				B.39					B.40
		Jan-24	Feb-24	Mar-24	Apr-24	May-24	Jun-24	Jul-24	Aug-24	Sep-24	Oct-24	Nov-24	Dec-24	Jan-25	Feb-25	Mar-25
	TOR advertised															
	Selection and contracting															
Stage 1	Inception															
	Inception Consultations															
	Approach Paper															
Stage 2	Data collection															
	Survey															
	Interview /Doc review															
	Case study missions															
Stage 3	Analysis															
	Drafting															
	Factual report															
Stage 4	Draft report															
	Final report															
	Communications and socialization															



Annex 2

A. Requirements for Firms' Proposals - Technical Proposal

The Technical Proposal will be submitted in a separate file and will address all aspects of the Terms of Reference. The Technical Proposal shall have all the necessary details in response to the Terms of Reference and the Bidder shall fill in the technical Forms (TECH Forms) which follow in this annex, and which must be filled in accordingly.

The Technical Proposal **MUST NOT** contain any pricing information. Technical proposals which contain pricing information will be disqualified.



TECH Forms

Form TECH-1: Technical Proposal Submission Form

[Location, Date]

To: [Name and address of Client]

To whom it may concern:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert date] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial Proposal.

We are submitting our Proposal in association with: [Insert a list with full Name and address of each associated Consultant if submitting as an association, if applicable]

We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification.

If negotiations are held during the period of validity of the Proposal, i.e., before the date indicated in paragraph reference 2.7 of the RFP Letter, we undertake to negotiate on the basis of the proposed staff, methodology and approach. Our Proposal is binding upon us and subject to the modifications resulting from Contract negotiations.

We undertake, if our Proposal is accepted, to initiate the consulting services related to the assignment.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorised Signature [In full and initials]: _____

Name and Title of Signatory: _____

Name of Firm: _____

Address: _____



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Form TECH-2: Firm's Organization and Experience

A - Organisation

[Provide here a brief (two pages) description of the background and organisation of your firm/entity and each associate for this assignment.]

B - Experience

[Using the format below, provide information on each assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under this assignment. Use not more than 20 pages making relevant examples of assignments in the areas of work identified in the terms of reference in Annex 1]

Assignment name:	Approx. value of the contract (in current US\$ or Euro):
Country: Location within country:	Duration of assignment (months):
Name of Client:	Total N ^o of staff-months of the assignment:
Address:	Approx. value of the services provided by your Firm under the contract (in current US\$ or Euro):
Start date (month/year): Completion date (month/year):	N ^o of professional staff-months provided by associated Consultants:
Name of associated Consultants, if any:	Name of senior professional staff of your Firm involved and functions performed (indicate most significant profiles such as Project Director/Coordinator, Team Leader):
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Firm's Name: _____



Form TECH-3:

Comments and Suggestions on the Terms of Reference and Counterpart's Staff and Facilities to be provided by the GCF

On the Terms of Reference

Present and justify any modifications or improvements to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, adding another, or suggesting a different phasing of the activities). [Such suggestions shall be concise and to the point and incorporated in your Proposal.]



Form TECH-4:

Description of Approach, Methodology and Work Plan for Performing the Assignment

Technical approach, methodology and work plan are key components of the Technical Proposal. You are suggested to present your Technical Proposal (Not more than 40 pages, inclusive of charts and diagrams) divided into the following 4 chapters:

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organisation and Staffing
- d) Sustainability

- a) Technical Approach and Methodology. In this chapter you shall explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You shall highlight the problems being addressed and their importance and explain the technical approach you would adopt to address them. You shall also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.
- b) Work Plan. In this chapter you shall propose the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports. The proposed work plan shall be consistent with the technical approach and methodology, showing understanding of the Terms of Reference and ability to translate them into a feasible working plan. A list of the final documents, including reports, drawings, and tables to be delivered as final output, shall be included here. The work plan shall be consistent with the Work Schedule of Form TECH-8.
- c) Organisation and Staffing. In this chapter you shall propose the structure and composition of your team. You shall list the main disciplines of the assignment, the key expert responsible, and proposed technical and support staff.]
- d) Sustainability: Organization's commitment to sustainability – Bidder to demonstrate its commitment to embed sustainability into its own operations (as defined by social, environmental, and economic considerations). Demonstrate how you plan to integrate sustainability measures in the execution of the contract to provide goods or services.



Form TECH-6: Curriculum Vitae (CV) for Proposed Staff Members/Experts

1. Proposed Position [only one candidate shall be nominated for each position]: _____

2. Name of Firm [Insert Name of Firm proposing the staff]: ____

3. Name of Staff [Insert full Name]: _

4. Date of Birth: ____Nationality: ____

5. Education [Indicate college/university and other specialised education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]: _

6. Membership of Professional Associations: _____

7. Other Training [Indicate significant training since degrees under 5 - Education were obtained]:

8. Countries of Work Experience: [List countries where the staff has worked in the last ten years]:

9. Languages [For each language indicate proficiency: fluent, good, fair, or poor in speaking, reading, and writing]: ____

10. Employment Record [Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, Name of employing organisation, positions held.]:

From [Year]: _____ To [Year]: _____

Employer: _____

Positions held: _



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<p>11. Detailed Tasks Assigned</p> <p>[List all tasks to be performed under this assignment]</p>	<p>12. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned</p> <p>[Among the assignments in which the staff has been involved, indicate the following information for those assignments that best illustrate staff capability to handle the tasks listed under point 11.]</p> <p>Name of assignment or project: __</p> <p>Year: __</p> <p>Location: _____</p> <p>Client: _</p> <p>Main project features: __</p> <p>Positions held: _</p> <p>Activities performed: __</p>
---	---

13. Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

[Signature of the staff member or an authorised representative of the staff]

Date: ____

Full Name of the authorised representative: _____

Signature Date: Day/Month/Year



Form TECH-7: Staffing Schedule¹

N°	Name of Staff	Staff input (in the form of a bar chart)²							Total staff-week input
		1	2	3	4	5	6	7	Total
1									
2									
3									
n									
Grand Total									

- 1 - For Professional Staff the input shall be indicated individually; for Support Staff it shall be indicated by category (e.g.: draftsmen, clerical staff, etc.).
- 2 - Weeks are counted from the start of the assignment. For each staff indicate separately staff input.



Form TECH-8 Work Schedule

N°	Activity ¹	Weeks ²						
		1	2	3	4	5	6	7
1								
2								
3								
4								
n								

1 Indicate all main activities of the assignment, including delivery of reports (e.g.: inception, interim, and final reports), and other benchmarks such as Client approvals. For phased assignments indicate activities, delivery of reports, and benchmarks separately for each phase.

2 Duration of activities shall be indicated in the form of a bar chart.



Annex 2

B. Requirements for Firms' Proposals - Financial Proposal

Financial Proposal

The Bidder must prepare and submit the Financial Proposal in a separate file from the Technical Proposal.

The Financial Proposal shall include the taxes where applicable²⁵, and the applicable taxes shall be specified.

The Financial Proposal must also summaries the total consultancy fee and the breakdown covering the lump sum amount to determine the financial score and contract price. Financial Proposal Standard Forms (FIN Forms) shall be used to present the Financial Proposal.

IMPORTANT:

The Financial Proposal MUST be password protected. The authorised procurement officer will contact the Bidders that pass the qualifying technical score for the password to open the Financial Proposal. Bidders shall NOT send the password to the financial proposal until they are requested to do so by the procurement officer. Financial Proposals that are submitted without password protection may be rejected for non-compliance.

²⁵ (a) Under Article 10 of the Headquarters Agreement, the property of the Green Climate Fund ("Fund"), including the property of any offices, subsidiary bodies or facilities established by the Fund, the Fund's operations and transactions, and any property of the Fund in transit to or from the Headquarters, are:

- (i) Exempt from all direct taxes, except those which are, in fact, no more than charges for public utility services;
- (ii) Exempt from all indirect taxes, including any value-added tax and/or other similar tax, and excise duties levied on important purchases of goods and services for official purposes; and
- (iii) Exempt from customs duties, prohibitions and restrictions on imports and exports in respect of articles of any kind imported or exported by the Fund for its official use, except for prohibitions and restrictions on imports or exports relating to health and safety.

(b) under bilateral agreements concluded between the GCF and certain countries, the GCF may be exempt from all taxation and from all customs duties, and from any obligation for the payment, withholding or collection of any tax or duty.



9. FINANCIAL PROPOSAL FORMS

Form FIN-1: Financial Proposal Submission Form

[Location, Date]

To: [Name and address of Client]

To whom it may concern:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert date] and our Technical Proposal. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures¹].

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to the expiration of the validity period of the Proposal.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorised Signature [In full and initials]:

Name and Title of Signatory:

Name of Firm:

Address:

¹ Amount must coincide with the ones indicated under Total Cost of Financial proposal in Form FIN-2.



Form FIN-2: Summary of Costs

(1) Total Cost of Financial Proposal

<i>Item</i>	Costs
	USD
Total Cost of Financial Proposal ¹	

¹Indicate the Remuneration and Other costs related to the assignment in line with Table 2 below.
Travel Costs shall not be included.

(2) Breakdown of Fees and Expenses per Cost Component

Description	A. Unit of measure	B. Total Period of Contract (in working days)	C. Daily Staff Rate (in USD)	Total Cost for the Period (B x C) (in USD)
<i>I. Remuneration Costs</i>				
Team Leader (Senior Consultant)	Work day			
Expert or Consultant	Work day			
Associate Expert or Consultant	Work day			
Other staff (if any)	Work day			
Sub-Total =				
<i>II. Other Costs related to the Assignment (to list below)</i>				
Sub-Total =				
TOTAL (Total Cost of Financial Proposal)				USD

(3) Breakdown of Fees and Expenses per Components below:

Travel Costs ²⁶	Unit of measure	Quantity		Total Cost
Air Tickets	Tickets			
Per Diem	Days			
Others (if any)				
TOTAL				USD

²⁶ The firm shall provide estimate the travel and mission costs based on the proposed methodological approach. The actual mission and travel costs shall be paid on a cost-reimbursable basis following the reimbursement rules that shall be agreed upon by both Parties when the contract is signed off. The mission costs shall include the cost of any translators and local consultants hired by the firm for this assignment. Please also note that the travel costs are not subject to the financial proposal assessment. The financial score will be given based on the proposed amount excluding the travel and mission costs.



Reimbursable Costs (Travel, accommodation, subsistence allowance, etc....)

All additional costs in addition to the quoted consultant daily fees as well as any anticipated cost overruns will have to be discussed with and approved in writing by GCF before incurring the expense.

a) Meals and accommodation for travels approved by GCF

The Firm may choose to either claim from GCF the costs of meals and accommodation reimbursed based on the presentation of the actual receipts documenting those costs or get them reimbursed as GCF Daily Subsistence Allowance (DSA) rates prevailing for the locations to which the travel took place.

If based on the actual receipts, the total reimbursable amount must not exceed the calculated total GCF DSA amount for the given location and duration of the travel.

b) Travel costs, including terminal costs, will be reimbursed based on the actual airfares on economy class, tickets, and receipts.

a) All other additional and approved by GCF costs will be reimbursed based on the presented relevant receipts.

b) Travel Costs will be paid after the conclusion of all the missions required for the assignment and upon submission of an invoice from the Firm.

(4) Breakdown of Fees and Expenses per Deliverables

SN	Deliverables [list them as referred to in the TOR]	Percentage of Total Price	Price (Lump Sum, All-Inclusive)
1	Deliverable 1		
2	Deliverable 2		
3	Deliverable 3		
N			
	TOTAL (Total Cost of Financial Proposal – Excluding Travel Cost)	100%	USD



10. FORM FIN-3: BREAKDOWN OF REMUNERATION¹ OR PRICE LIST FOR EXPERTS

(Information provided in this form will be used to establish the cost of future work/services or payments to the Firm for possible future work/services requested by the GCF)

Name ²	Position ³ or Title	Daily Staff Rate ⁴

1 – Form FIN-3 shall be filled in for the same Professional and Support Staff listed in Form TECH-7; You can also list other proposed experts for future work/services required by GCF.

2 – Professional Staff shall be indicated individually; Support Staff shall be indicated per category (e.g., technician, draftsmen, clerical staff).

3 – Positions of Professional Staff shall coincide with the proposed experts for the hypothetical project; You can also list other proposed experts for future work/services requested by GCF.

4 – Daily Staff Rates shall be firm and fixed during the duration of the future Contract.



Annex 3 - Evaluation Criteria For RFP 2023/045

A. Evaluation and Comparison of Proposals

The proposals will be evaluated in a three-stage procedure, starting with administrative compliance to ensure the proposals include all necessary required documents and are duly signed by the authorised representative. Evaluation of the technical proposal will follow and will be completed before any financial proposal is opened and evaluated. The financial proposal will be considered only if the submissions fulfil the minimum technical requirements.

B. Acceptance of Submissions

All proposers are expected to adhere to the requirements for submitting a proposal. Any proposals that fail to comply will be disqualified from further consideration as part of this evaluation. In particular:

- (1) A brief description, including ownership details, date and place of incorporation of the firm, objectives of the firm, partnerships, qualifications, certificates, etc.;
- (2) Full compliance with the formal requirements for submitting a proposal.
- (3) Submission of all requested documentation
- (4) Acceptance of the GCF Model contract – Where the Bidder notes issues, these must be raised as part of the technical proposal for consideration during evaluation.**

The Technical Proposal shall include:

- (1) A brief description of the organisational strengths and qualifications including demonstrated experience supported by references of similar assignments.
- (2) Details to demonstrate vast experience in working with relevant multilateral development funds and familiarity with their operations; and
- (3) Demonstration of the firm's deep understanding of the GCF, mandate/business model, and technical requirements.

C. Evaluation of Technical Proposal

The assessment of the Bidder/Firm and its proposed methodology, workplan and project team will be based on the criteria below. Following this, the Bidder is welcome to support their proposal with reference to evaluations that are relevant to this assignment.

SN	Description of Evaluation Criteria	Sub-scores	Scores
1	Technical Expertise and Experience		30
1.1	Expertise and experience of the proposed team in undertaking evaluations using quantitative methods, particularly in the review and development of strategies based on evidence, demonstrated experience in solid qualitative data collection and analysis. The Firm shall have a minimum of 5 years' experience in conducting similar services. More points will be given if the Firm has more than 5 years of experience.	10	
1.2	Experience and expertise of the team in evaluations in the context of climate change, climate finance, particularly in the context of the agriculture/food, health and water sectors.	10	



1.3	Experience and expertise of the team in multi-country portfolio evaluations in a multilateral setting.	10	
2	Methodology and Workplan		30
2.1	The scope, magnitude, urgency and challenges of the overall task are fully and well understood, are properly addressed and correspond closely to the present TOR, and this is reflected in the proposed methodology. The methods reflect an understanding of the challenges of climate finance, in particular, climate adaptation.	15	
2.2	The technical tools and work plan are well defined and are relevant and correspond to the assignment of this TOR, including the essential need to perform the task and deliver within short, and intensive time frames. Innovative assessment methods are preferred. In addition to the task manager's oversight on the timeline and quality of the delivery of the evaluation, additional suggestions for meeting the timeline and quality expectations of evaluation are welcome from the bidders.	15	
3	Proposed Personnel		40
3.1	Strong, proven team leader's capacity to lead and organize the evaluation process under time constraints and manage complex teams and evaluations, with emphasis on complex corporate, portfolio and performance evaluations. A minimum of 15 years of such substantive experience is required. This includes extensive evaluation experience and capacity, time availability, and willingness of all members that will be proposed as part of the team.	10	
3.2	Demonstrated excellent communication skills, ability to work with tight deadlines and history of timely delivery of use-worthy, added value, strategic documents. The proposals may elaborate on how the team leader and the personnel have undertaken evaluations in tight timelines in the past.	10	
3.3	<u>Demonstrated appropriate team members and arrangements:</u> (a) The proposed team is appropriately composed, including the expertise and experience of the proposed key team members, and structure of the team, as reflected in gender balance, ability to work across languages, and utilize and tap into networks in various parts of the world (e.g. access to local consultants based in developing countries), and academic qualifications (b) Demonstrated ability of key staff to work and analyse quantitative and qualitative data by using well recognized methods, especially as related to statistical data, theory of change, survey design, field probing and investigation, field and other interviews and lead focus groups while recognizing biases, and behavioural science methods	20	
	TOTAL POINTS	100	100

Technical proposals that score at least 75 points out of 100 will be considered qualified for the review of financial proposal. Any proposal less than that will be disqualified from proceeding to the next step and its financial proposal shall be returned unopened following the award of the contract.

D. Evaluation of Financial Proposal

The financial proposal of all bidders who have attained the minimum score in the technical evaluation will be evaluated subsequently. The lowest evaluated Financial Proposal (Fm) is given the maximum



financial score (Sf) of 100. The formula for determining the financial scores (Sf) of all other Proposals is calculated as follows:

$S_f = 100 \times F_m / F$, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" is the price of the proposal under consideration.

E. Consolidated Evaluation

The weights given to Technical (T) and Financial (P) Proposals are: T = 0.70, and P = 0.30

Proposals will be ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: $S = S_t \times T\% + S_f \times P\%$.

The bidder that achieves the highest combined technical and financial score will be invited for contract negotiations.

F. Award of Tender/Contract

The tender award will be made to the responsive bidder who achieves the highest combined technical and financial score, following the negotiation of an acceptable contract. The GCF reserves the right to conduct negotiations with the bidder regarding the contents of their offer. The contract award will be in effect only after acceptance by the selected Bidder of the terms and conditions and the technical requirements.



Annex 4 - Company Profile Form

(Submit as part of Technical Proposal)

Please respond to all questions.

1.1 COMPANY DETAILS - VENDOR'S NAME

Name:

1.2 GENERAL INFORMATION

Primary contact for sales/client services	
Address	
	Postal Code: Country:
Telephone:	Fax:
E-mail:	Web site:
Parent company, if any	
Subsidiaries, Associates, and/or Overseas Rep(s), if any	
Year established	
Registration Number	
Type of organisation	Public enterprise () Private company () Organisation sponsored (assisted by Government) () Other (please specify): ()
Type of Business	Manufacturer () Retailer () Authorised Agent () Consulting Company () Other (please specify): ()
Summary of main business activities	
No. of employees (by location)	
Staff turnover rate	
In-house working language (s)	



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Bank Name: Bank Address: Account Holder: Account Number: IBAN: SWIFT:	
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1.3 PRIOR EXPERIENCE WITH INTERNATIONAL ORGANISATIONS

List contracts with international organisations in the last three years BRIEFLY list recent contracts that used relevant tools, technologies, and techniques: Attach additional sheets if necessary.
1
2
3

1.4 ENVIRONMENTAL POLICY

Does your company have a written statement of its environmental policy?
YES (<input type="checkbox"/>) Please attach copy NO (<input type="checkbox"/>)

1.5 CONTRACT DISPUTES

List any disputes your company has been involved in over the last three years

1.6 REFERENCES

List suitable reference projects and contacts. What options would there be for a site visit to a referenced project and/or the vendor's site?
1
2
3

1.7 PARTNERS

If this is a part bid, list relevant recent experience of working with partners. Are there already formal or informal preferred partnership agreements in place?
1
2
3



1.8 CONFLICT OF INTEREST

Are there any likely circumstances or contracts in place that may introduce a conflict of interest with the parties to this contract? If so, explain how this will be mitigated
1
2

1.9 CERTIFICATION

I, the undersigned, confirm that the information provided in this annexe is correct. In the event of changes, details will be provided.

Name: _____

Title: _____

Signature: _____

Date: _____



Annex 5 - Acknowledgement Letter

To GCF Procurement Unit,

We, the undersigned, acknowledge receipt of your Request for Proposal (RFP) No. **2023/045 for Provision of Consultancy Services for Independent Evaluation of Green Climate Fund's Health, Food and Water Security Result Area dated 16 January 2024** and hereby confirm that we:

INTEND DO NOT INTEND

to submit a proposal to the Secretariat of the Green Climate Fund (GCF) by the deadline date of **Monday, 12 February 2024 @ 24.00 hours Korean Time.**

INTEND DO NOT INTEND

to send one (1) authorised representative²⁷ to observe the public opening procedure on **Tuesday, 13 February 2024 at 10.00 hours Korean Time***. (Note: attendance at the public opening procedure is optional.) *

We acknowledge that this RFP is confidential and proprietary to the GCF and contains privileged information.

Name of Authorized Representative: _____

Signature: _____

Title: _____

Name and Address of Company/firm: _____

Telephone: _____

Facsimile: _____

If you do not intend to submit a proposal to the GCF, please indicate the reason:

- We do not have the capacity to submit a proposal at this time.
- We cannot meet the requirements for this RFP.
- We do not think we can make a competitive offer at this time.
- Other (please specify): _____

Kindly return this acknowledgement letter immediately via e-mail to procurement@gcfund.org and copy to hngau@gcfund.org

***NOTE: Public opening procedure is held online via MS Teams based on the requests for attendance.**

²⁷ Please provide name, last name, position and email address of the representative to which the virtual meeting invitation will be provided on the day of proposal opening.



Annex 6 - Timeline

The Green Climate Fund shall follow the timeline below for this RFP. Any changes to this timeline shall be posted on the GCF website.

Please note that the target dates may be adjusted.

Nr.	Event	Responsible Party	Tentative Date (and time, KST*)
1	Issuance of RFP	GCF	16 January 2024
2	Last day to send completed Acknowledgement Letter of RFP receipt	Bidder	19 January 2024
3	Last date for requests for clarifications of RFP	Bidder	25 January 2024
4	Last date for GCF to reply to questions received	GCF	29 January 2024
5	Date by which proposals must be received in South Korea by GCF (Closing Date)	Bidder	12 February 2024 @ 24.00 hours KST*
6	Opening & Distribution of Technical Proposals	GCF	13 February 2024 @ 10.00 hours KST*

* KST: Korean Standard Time (Seoul Time)



Annex 7 - GCF Model Contract

By submitting a proposal to this RFP, the Bidders are considered to have carefully reviewed the GCF Model Contract and must agree with all its terms and conditions. **Where the Bidder has specific issues of concern, those must be raised and indicated in the Technical Proposal clearly for consideration during evaluation. Any request for amendments to the GCF Model Contract and terms and conditions must be accompanied by a detailed and compelling justification for review and consideration by GCF.**

It shall be noted that the request of amendments to the GCF Model Contract and terms and conditions may negatively affect the evaluation of the proposal and, in some cases, where such amendments are incompatible with GCF's binding policies and rules, may prejudice the final award.

NB: For this particular contract, the Performance Standards (Clause 9), Insurance (Clause 10), Performance Security (Clause 11), and Deductions Clauses (Clause 12) of the Special Conditions of Contract (SCC) shall not be applicable.

*Note: The Board adopted at the recent meeting a new policy on SEAH (Sexual Exploitation, Sexual Abuse, and Sexual Harassment) and therefore the GCF is required to include new provisions in the General Conditions of Contract. While the specific wording is yet to be formulated, the eventual contract shall need to include such new provisions.