



GREEN
CLIMATE
FUND

Independent
Evaluation
Unit



MEMORANDUM OF UNDERSTANDING

BETWEEN

**OFFICE OF EVALUATION
of the Food and Agriculture Organization
of the United Nations
(FAO)**

AND

**INDEPENDENT EVALUATION UNIT
of the Green Climate Fund
(GCF)**

ON

KNOWLEDGE PARTNERSHIP

This Memorandum of Understanding (this “**MoU**”) is entered into, between:

The **INDEPENDENT EVALUATION UNIT (IEU)** on behalf of the **Green Climate Fund (GCF)**, designated as an operating entity of the financial mechanism under Article 11 of the United Nations Framework Convention on Climate Change and established pursuant to its Governing Instrument possessing juridical personality in order to operate effectively internationally, having such legal capacity as is necessary for the exercise of its functions and the protection of its interests and having its headquarters at Songdo, Incheon, Republic of Korea; and

The **Office of Evaluation, FOOD AND AGRICULTURE ORGANIZATION (FAO)**,

hereinafter referred to individually as a “Party” and jointly as the “Parties”

PREAMBLE

WHEREAS the IEU is an independent unit of the GCF, mandated by paragraph 60 of its governing instrument which states “...the Board will establish an operationally independent evaluation unit as part of the core structure of the Fund”;

WHEREAS the mission of the IEU is to conduct periodic independent evaluations of GCF performance to objectively assess the results of the GCF and the effectiveness and efficiency of its activities as to provide strategic guidance to the GCF Board;

WHEREAS the Office of Evaluation of FAO is responsible for ensuring the relevance, effectiveness, quality and independence of evaluation in the Organization. It is solely responsible for the conduct of all evaluations (with the exception of auto-evaluations), including the selection of evaluators and the terms of reference. It is thus operationally independent within the Organization.

WHEREAS the Office of Evaluation inter alia: i) contributes to the evaluation of the effectiveness of the UN system and other partners as it relates to areas of FAO’s mandate through joint evaluations; ii) has an institutionalised advisory role on results-based management and programming and budgeting;

WHEREAS both parties strive for the highest international standards in their evaluation practice and adhere to norms and standards established by the United Nations Evaluation Group (UNEG).

CONVINCED that cooperation and collaboration between the Parties would serve their common objectives and render their respective activities more effective and beneficial;

THE PARTIES HAVE REACHED THE FOLLOWING UNDERSTANDING:

ARTICLE 1: OBJECTIVES

The purpose of this MoU is to summarize the areas for mutual cooperation with respect to technical expertise and knowledge exchange, and to explore opportunities and options for developing a strategic knowledge partnership around the evaluation of projects and programmes in the areas of climate change of the GCF and the FAO.

ARTICLE 2: SCOPE OF COOPERATION

1. To achieve the objectives stated in Article 1, the Parties shall exchange technical expertise and lessons-learned related to assessment and evaluation of the projects and programmes and to inform each other's evolving understandings and associated work programs related to the climate change. Moreover, the Parties will cooperate in the following areas:
 - a) Joint information and knowledge sharing activities on matters related to project evaluation and measurement of impact of activities consistent with results frameworks which include measurement of impact using counterfactuals in addition to traditional impact measurement strategies during mid-term and end-term of projects including but not restricted to IEU's Learning Oriented Real-Time Impact Assessment (LORTA) plans.
 - b) Defining criteria to be built into evaluation guidelines
 - c) Sharing and disseminating knowledge and experience, including the development of joint knowledge products, including but not limited to;
 - I. Impact evaluation.
 - II. Process evaluation.
 - III. Systematic reviews.
 - IV. Evidence for project success or failure.
 - V. Quantitative and qualitative methods of measurement.
 - VI. Standards of good evidence.
 - VII. Development of indicators.
 - d) Supporting organization of workshops, seminars, training courses, and joint event on topics related to evaluation;
 - e) Facilitating and supporting the exchange of experts and secondment of staff and researchers belonging to both institutions (GCF IEU and FAO). Also, support relevant GCF IEU and FAO staff and researchers in developing its area of evaluation support by providing advice and inputs on types of assessment and evaluation criteria GCF may want to use in reviewing project and programmes;
 - f) Other activities that may be mutually useful and strategic.
2. The Parties may from time to time wish to agree on other activities within the scope of this MOU. If so, the Parties may develop annexes describing the

activity to be carried out under the areas of cooperation contemplated under this MoU. Each annex shall outline the subject and form of cooperation, clearly stating the obligation of each Party, financial arrangements and the relevant terms relating to the implementation of the activity. Upon written agreement, which will be concluded by an exchange of letters, such annex shall be an integral part of the MOU. Annexes are subject to all provisions of this MOU and will, in their introduction, incorporate by reference all provisions of this MOU. In the event of a conflict between the terms of an annex and this MOU, the provisions of this MOU shall prevail.

ARTICLE 3: TERMS OF COOPERATION

1. The Parties shall decide, through consultation, the specific areas and details of the cooperation within the framework of this MoU, the details of which will be outlined in separate memoranda of understanding or in the annexes referred to in Article 2, paragraph 2.
2. This MoU does not represent any commitment of financing by the Parties for any activities to be undertaken, jointly or independently.
3. Neither Party will commit the other to any expenditure, obligation, contractual undertaking or representation to any third party without the prior consent of the other Party.
4. The above activities and any additional activities agreed to between the Parties shall be subject to the respective internal objectives, mandates, functions, policies and procedures of the Parties.

ARTICLE 4: CONFIDENTIALITY

1. Each Party shall keep in strict confidence all Confidential Information (defined below) obtained from or disclosed by the disclosing Party pursuant to or in connection with this MoU and shall not disclose or permit its representatives to disclose such information to any third party, unless such disclosure is mutually agreed by the Parties or is otherwise required in accordance with a Party's disclosure policy as then in effect. For purposes of this MoU, "Confidential Information" means all information identified as confidential or proprietary by the disclosing Party or that ought reasonably under the circumstances to be treated as confidential or proprietary.
2. The Parties agree that the provisions of this Article shall continue to be binding between the Parties notwithstanding the termination of this MoU.

ARTICLE 5: STATUS OF THE PARTIES

1. Neither Party nor its personnel shall be considered as an official, agent, employee, representative or joint partner of the other Party. Neither Party shall enter into any contract or commitment on behalf of the other Party.

For the Independent Evaluation Unit of the Green Climate Fund:

Dr. Jyotsna Puri
Independent Evaluation Unit
Green Climate Fund
175, Art Center-daero
Yeonsu-gu, Incheon 22004
Republic of Korea
Fax: +82 32 458 6192
E-mail address: ieu@gcfund.org

For the Office of Evaluation, Food and Agriculture Organization of the United Nations:

Dr. Masahiro Igarashi
Office of Evaluation
Food and Agriculture Organization of the United Nations
Viale delle Terme di Caracalla, 00100 Rome
Italy
Fax: +39 0657054403
E-mail address: OED-Director@fao.org

As liaison officers, they will oversee the implementation of this agreement and ensure its smooth functioning.

ARTICLE 10: TERMINATION OF AGREEMENT

If at any time during the term of the cooperation, either of the Parties considers that the arrangement is no longer fulfilling the intended purposes or if any difficulty arises which adversely affects the arrangements, it shall notify the other Party, and the Parties shall endeavor in good faith to resolve the matter at issue through appropriate consultations. If the matter at issue cannot be resolved to the mutual satisfaction of the Parties, either Party may terminate this MoU upon advance written notice to the other Party of a minimum period of ninety (90) days. The Parties may also mutually agree to terminate this MoU upon such notice and any other terms as they may deem appropriate. If the MoU is terminated by either Party, steps will be taken to ensure that the termination does not affect any prior obligation or activity in progress.

ARTICLE 11: PRINCIPLES OF THE AGREEMENT

1. The MoU is valid for 36 months from the date of signing. Either Party may propose revisions to the MoU at any time and both parties must agree in writing to any such modifications.
2. This MoU may be executed in any number of counterparts and by the Parties in separate counterparts, each of which when so executed and delivered shall be deemed an original, but all such counterparts together shall constitute one and the same instrument.

ARTICLE 12: AUTHORITY OF SIGNATORIES

This MoU represent the entire agreement and understanding between the Parties with respect to the subject matter thereof.

For and on behalf of
FOOD AND AGRICULTURE ORGANIZATION,
Represented by the Office of Evaluation

For and on behalf of
GREEN CLIMATE FUND, represented by
the Independent Evaluation Unit

By: [SIGNED]

By: [SIGNED]

Name: Masahiro Igarashi

Name: Jyotsna Puri

Date: 21 / 05 / 18

Date: 22 / 05 / 18