



GREEN  
CLIMATE  
FUND

Independent  
Evaluation  
Unit



## **MEMORANDUM OF UNDERSTANDING**

**BETWEEN**

**THE CENTER FOR INTERNATIONAL FORESTRY RESEARCH (CIFOR)**

**AND**

**INDEPENDENT EVALUATION UNIT  
of the Green Climate Fund  
(GCF)**

**ON**

**KNOWLEDGE SHARING AND DISSEMINATION PARTNERSHIP**

This Memorandum of Understanding (this “**MoU**”) is entered into, between:

**The Independent Evaluation Unit (IEU)** on behalf of **The Green Climate Fund (GCF)**, designated as an operating entity of the financial mechanism under Article 11 of the United Nations Framework Convention on Climate Change and established pursuant to its Governing Instrument possessing juridical personality in order to operate effectively internationally, having such legal capacity as is necessary for the exercise of its functions and the protection of its interests and having its headquarters at Songdo, Incheon, Republic of Korea; and

**The Center for International Forestry Research (CIFOR)**, an international organization whose principal address is at Jalan CIFOR, Situ Gede, Sindang Barang, Bogor Barat, Kota Bogor, Jawa Barat 16115, Indonesia.

CIFOR and GCF are hereinafter collectively referred to as the “Parties” and individually as the “Party”.

## **PREAMBLE**

**WHEREAS** the IEU is an independent unit of the GCF, mandated by paragraph 60 of its governing instrument which states “...the Board will establish an operationally independent evaluation unit as part of the core structure of the Fund”;

**WHEREAS** the mission of the IEU is to conduct periodic independent evaluations of GCF performance to objectively assess the results of the GCF and the effectiveness and efficiency of its activities as to provide strategic guidance to the GCF Board;

**WHEREAS** CIFOR is a non-profit global organization dedicated to advancing human-well-being, environmental conservation, and equity. CIFOR conducts research to help inform policies and practices that affect forests in developing countries. CIFOR is a member of the CGIAR System Organization. The headquarters are in Bogor, Indonesia, with a global presence in Asia, Africa and South America;

**CONVINCED** that cooperation and collaboration between the Parties would serve their common objectives and render their respective activities more effective and beneficial;

## **THE PARTIES HAVE REACHED THE FOLLOWING UNDERSTANDING:**

### **ARTICLE 1: OBJECTIVES**

The purpose of this MoU is to summarize the areas for mutual cooperation with respect to technical expertise and knowledge exchange, and to explore opportunities and options for developing a strategic knowledge partnership

around the evaluation of projects and programmes in the areas of climate change of the GCF and CIFOR.

## **ARTICLE 2: SCOPE OF COOPERATION**

1. To achieve the objectives stated in Article 1, the Parties shall exchange technical expertise and lessons-learned related to assessment and evaluation of the GCF's projects and programmes and to inform each other's evolving understandings and associated work programs related to climate change. In particular, the Parties will cooperate in the following areas:
  - a) Building awareness about the importance of rigorous and appropriate evaluation of interventions in complex human and ecological systems including through the use and sharing of impact evaluation methods, data, evidence maps produced on land use and forestry that could be updated (potentially during the first 12 months of this MoU), and through co-hosting events or collaborating at third-party events. This may involve, as discussed with IEU, guidance on evaluability, capacity building on evaluations and reviewing the evidence base on areas that are mutually agreed upon by IEU and CIFOR.
  - b) Establishing various platforms for collaboration, including but not limited to:
    - I. Collaboration with CIFOR on research and knowledge sharing related to transformational change, including reviewing documents, participating in meetings and sharing information;
    - II. IEU participation in the Global Landscape Forums and Learning Labs, in particular on matters related to measuring progress of forest and landscape initiatives, and transformational change;
    - III. CIFOR participation in the UN REDD+ collaborative framework to understand what is working and what is not in REDD+, and understanding how to measure transformational change.
  - c) Undertaking joint information and knowledge sharing activities on matters related to project evaluation;
  - d) Sharing and disseminating knowledge and experience, including the development of joint knowledge products, including but not limited to;
    - I. Impact evaluation.
    - II. Process evaluation.
    - III. Systematic reviews.
    - IV. Evidence for project success or failure.
    - V. Quantitative and qualitative methods of measurement.
    - VI. Standards of good evidence.
    - VII. Development of indicators.
  - e) Facilitating and supporting the exchange of experts and secondment of staff and researchers belong to both institutions (IEU and CIFOR). Also, supporting relevant IEU and CIFOR staff and researchers in developing their area of evaluation support by providing advice and inputs on types of assessment and evaluation criteria that GCF may want to use in reviewing project and programmes.

- f) Supporting the organization of workshops, seminars, training courses, and joint events on topics related to evaluation; and
  - g) Contributing to academic and research programs, which may include evaluation of climate change, and development of curricula in the areas related with climate change.
  - h) Other activities that may be mutually useful and strategic.
2. The Parties may from time to time wish to agree on other activities within the scope of this MOU. If so, the Parties may develop annexes describing the activity to be carried out under the areas of cooperation contemplated under this MoU. Each annex shall outline the subject and form of cooperation, clearly stating the obligation of each Party, financial arrangements and the relevant terms relating to the implementation of the activity. Upon written agreement, which will be concluded by an exchange of letters, such annex shall be an integral part of the MOU. Annexes are subject to all provisions of this MOU and will, in their introduction, incorporate by reference all provisions of this MOU. In the event of a conflict between the terms of an annex and this MOU, the provisions of this MOU shall prevail.

### **ARTICLE 3: TERMS OF COOPERATION**

1. The Parties shall decide, through consultation, the specific areas and details of the cooperation within the framework of this MoU, the details of which will be outlined in separate memorandums of understandings or in the annexes referred to in Article 2, paragraph 2.
2. This MoU does not represent any commitment of financing by the Parties for any activities to be undertaken, jointly or independently.
3. Neither Party will commit the other to any expenditure, obligation, contractual undertaking or representation to any third party without the prior consent of the other Party.
4. The above activities and any additional activities agreed to between the Parties shall be subject to the respective internal objectives, mandates, functions, policies and procedures of the Parties.

### **ARTICLE 4: CONFIDENTIALITY**

1. Each Party shall keep in strict confidence all Confidential Information (defined below) obtained from or disclosed by the disclosing Party pursuant to or in connection with this MoU and shall not disclose or permit its representatives to disclose such information to any third party, unless such disclosure is mutually agreed by the Parties or is otherwise required in accordance with a Party's disclosure policy as then in effect. For purposes of this MoU, "Confidential Information" means all information identified as

confidential or proprietary by the disclosing Party or that ought reasonably under the circumstances to be treated as confidential or proprietary.

2. The Parties agree that the provisions of this Article shall continue to be binding between the Parties notwithstanding the termination of this MoU.

#### **ARTICLE 5: STATUS OF THE PARTIES**

1. Neither Party nor its personnel shall be considered as an official, agent, employee, representative or joint partner of the other Party. Neither Party shall enter into any contract or commitment on behalf of the other Party.
2. Each Party shall carry out its responsibilities and obligations under this MoU in accordance with its regulations and rules applicable to it, and, unless separately agreed upon in writing, bear its own costs with respect to the implementation of this MoU.

#### **ARTICLE 6: NON-WAIVER OF PRIVILEGES AND IMMUNITIES**

Nothing in this MoU shall in any way constitute or imply a waiver, renunciation, termination, or modification by the Green Climate Fund of any of its privileges, immunities or exemptions granted by or under international law, international customary law, any international conventions, treaties or agreements, or any other applicable laws or agreements, including without limitation, the Governing Instrument for the Green Climate Fund and the Agreement between the Republic of Korea and the Green Climate Fund concerning the Headquarters of the Green Climate Fund.

#### **ARTICLE 7: INTELLECTUAL PROPERTY AND ACKNOWLEDGEMENT AND USE OF INSTITUTIONAL EMBLEMS**

1. The parties agree that the use of emblems, name, abbreviation, logo or any trademarks of the other Party in documentation related to cooperation will be in accord with the current policies of each Party concerning such usages and upon the prior consent in writing for such use by the other Party.
2. The Parties recognize the importance of intellectual property rights protecting the materials used for or resulting from the joint activities conducted under the framework established by this MoU. This MoU does not grant the right to use materials belonging to or created by either Party. Each Party will retain intellectual property rights in all materials developed and produced by it and its staff or consultants. The Parties will agree in writing on the ownership of any intellectual property rights that may arise in any work to be created by the collaborative activities to be undertaken pursuant to this MoU.

## **ARTICLE 8: NATURE OF THE MOU**

1. Except for Article 4, this MoU serves only as a record of the Parties' intentions and does not constitute or create, and is not intended to create, rights or obligations under domestic or international law and will not give rise to any legal process and will not be deemed to constitute or create any legally binding or enforceable rights or obligations, expressed or implied.
2. Any specific activities under this MoU shall be covered by a separate memorandum(s) of understanding or annex signed by both Parties.

## **ARTICLE 9: LIAISON**

All correspondence regarding this MoU between the Parties shall be addressed to:

### **For the Independent Evaluation Unit of the Green Climate Fund:**

Dr. Jyotsna Puri  
Independent Evaluation Unit  
Green Climate Fund  
175, Art Center-daero  
Yeonsu-gu, Incheon 22004  
Republic of Korea  
Fax: +82 32 458 6192  
E-mail address: [ieu@gcfund.org](mailto:ieu@gcfund.org)

### **For the Center for International Forestry Research**

Robert Nasi  
Director General  
The Center for International Forestry Research  
Jalan CIFOR, Situ Gede  
Bogor Barat 16115  
Indonesia  
Fax: +62 251 8622 100

Attention: Kumar Tumuluru  
Deputy Director General - Operations  
[k.tumuluru@cgiar.org](mailto:k.tumuluru@cgiar.org)  
*(for financial and administrative related matters)*

Christopher Martius  
Team Leader - Climate Change, Energy & Low-Carbon Development  
[c.martius@cgiar.org](mailto:c.martius@cgiar.org)  
*(for science and research related matters)*

As liaison officers, they will oversee the implementation of this agreement and ensure its smooth functioning.

## **ARTICLE 10: TERMINATION OF THE MOU**

If at any time during the term of the cooperation, either of the Parties considers that the arrangement is no longer fulfilling the intended purposes or if any difficulty arises which adversely affects the arrangements, it shall notify the other Party, and the Parties shall endeavor in good faith to resolve the matter at issue through appropriate consultations. If the matter at issue cannot be resolved to the mutual satisfaction of the Parties, either Party may terminate this MoU upon advance written notice to the other Party of a minimum period of ninety (90) days. The Parties may also mutually agree to terminate this MoU upon such notice and any other terms as they may deem appropriate. If the MoU is terminated by either Party, steps will be taken to ensure that the termination does not affect any prior obligation or activity in progress.

## **ARTICLE 11: PRINCIPLES OF THE MOU**

1. The MoU is valid for 12 months from the date of signing. Either Party may propose revisions to the MoU at any time and both parties must agree in writing to any such modifications.
2. This MoU may be executed in any number of counterparts and by the Parties in separate counterparts, each of which when so executed and delivered shall be deemed an original, but all such counterparts together shall constitute one and the same instrument.

## **ARTICLE 12: AUTHORITY OF SIGNATORIES**

This MoU represent the entire agreement and understanding between the Parties with respect to the subject matter thereof.

For and on behalf of  
The Center for International Forestry  
Research

For and on behalf of  
GREEN CLIMATE FUND, represented by  
the Independent Evaluation Unit

By: [SIGNED]

Name: Robert Nasi  
Title: Director General

Date:

By: [SIGNED]

Name: Dr. Jyotsna Puri  
Title: Head of th Independent  
Evaluation Unit

Date: 21 March 2018