

APPROACH BRIEF No. 16

Independent Evaluation of the Readiness and Preparatory Support Programme

1. Context

As part of its Board-approved 2023 workplan, the Independent Evaluation Unit (IEU) is undertaking the Independent Evaluation of GCF's Readiness and Preparatory Support Programme.

Administered by Green Climate Fund (GCF), the Readiness and Preparatory Support Programme (RPSP) provides grants and technical assistance for strengthening low-emission and resilient development pathways, adaptation planning and strengthening institutional capacities of National Designated Authorities (NDAs) or Focal Points (FPs) and direct access entities (DAEs) to efficiently engage with the Fund. The Fund aims for a floor of 50 per cent of Readiness support allocation to particularly vulnerable countries, which includes least developed countries (LDCs), small island developing states (SIDS) and African States.

2. Purpose and objectives

The aim of the evaluation is to assess the Readiness programme against key evaluation criteria such as effectiveness and efficiency, relevance, impact, sustainability, complementarity and coherence and other criteria. The evaluation addresses key questions:

- Assess progress and gains made in the effectiveness of the RPSP.
- Assess the extent to which readiness has created enabling environment for accessing climate finance at a country level.
- Assess the effectiveness and efficiency of the Readiness Programme's operational approach.
 The IEU's RPSP evaluation team, consisting of IEU staff and external consultants, will answer these and other key questions in the evaluation report to be finalized by October 2023.



3. Scope

The evaluation will assess GCF Readiness Programme within the broader context of all GCF operational modalities, programmes and processes, taking into account the Readiness Programme Strategy (2019-2021)

4. Methods

The methods for the RPSP evaluation include:

- Review of documents
- Retrospective analysis of the RPSP's theory of change
- Portfolio analyses
- Stakeholder consultations and interviews, including key informant interviews (KIIs) and focus group discussions (FGDs)
- Survey data (collected by in-person interviews or virtual means)
- Benchmarking and meta-analyses of international experience
- Literature review
- Country case studies

5. Country visits

The IEU's RPSP evaluation team will conduct seven country case studies. To the extent possible the country missions will be in-person. The IEU will use the criteria to select country case studies, which include a high number and volume of RPSP grants, geographical diversity, GCF's operational priority in SIDS, LDCs and African States, number of pipeline DAEs, and other criteria.

The RPSP evaluation team will treat all comments received during country visits as confidential. The team will also release responses from country visits anonymously. After each country visit the team will circulate a report on its findings among relevant stakeholders for review and factchecking. The team will also include an annexe on country visits in the final report.

The IEU will request GCF country focal points, members of accredited entities, delivery partners, and civil society and private sector organizations to support the evaluation team in identifying suitable stakeholders for interviews and assist in making appropriate introductions.

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