



The IEU's independent review of the GCF's results management framework

Summary of the Inception Report

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I. OBJECTIVES OF THE REVIEW

At its nineteenth meeting, the Board of the Green Climate Fund requested the Independent Evaluation Unit of the GCF to carry out a review of the Fund's results management framework (RMF).

The mandate for this review is provided by the Terms of Reference of the Independent Evaluation Unit of the GCF (IEU), which states that *'taking into account international experience, and in light of the results of its evaluations, the IEU will make recommendations to improve the Fund's performance indicators and its results management framework.'*¹ Additionally, as indicated by the approved 2018 Work Plan of the IEU², *"the review will summarize lessons learnt from an assessment of the capacity of entities on the ground. It will summarize the extent to which there is potential to measure the effects of the programs on the ground, given the current capacity of the implementing entities."*³

The aim of this review is to identify lessons and provide recommendations to the GCF Board and Secretariat in further developing the framework and to provide insights on the capacity and needs of the implementing partners and the Fund itself.

II. GUIDING PRINCIPLES

For reviewing the RMF, the IEU is guided by some key principles laid down by the GCF Board and the Fund's Governing Instrument.

- IEU acknowledges the importance of maintaining the flexibility of the framework to allow for the effective and efficient inclusion of lessons learnt⁴, in line with the Governing Instrument the Fund referring to the Fund as a *'flexible and will be a continuously learning institution'*⁵.
- The review will ensure that the country ownership is given primary importance and will incorporate lenses that examine flexibility of the RFM. It will use both a bottom-up and a top-down inquiry with the objective of integrating perspectives across the spectrum of GCF stakeholders.⁶

¹ GCF/B.06/18/ Annex III/ (7.).

² GCF/B.19/43/ Decision B.19/21.

³ GCF/B.19/43/ Annex XXI/ (5.) / (c).

⁴ GCF/B.05/23/ Decision B.05/03/ (h)

⁵ FCCC/CP/2011/9/Add.1, Decision 3/CP.17 Annex, (3.).

⁶ GCF/B.04/17/ Decision B.04/04/ (b)



- The review will use a gender-sensitive lens while analysing indicators in the performance measurement frameworks.⁷
- The review will build on the experience of relevant entities to ensure that the results management framework is informed by international best practice, following the Board's decision that '*in designing its results management framework, the Fund will use the experience of other relevant entities*'⁸.

III. KEY LEARNING QUESTIONS

The review team has identified three key *core* learning questions:

1. What are we learning from the design of the GCF's results management framework?
2. What are we learning from the application of the RMF to the funding proposal throughout the portfolio?
3. What are we learning about the RMF from GCF projects or programmes under implementation?

IV. METHODS

Research methods and tools for this review include:

- **Desk review and analysis of key documents:** will provide information on the elements of the GCF's RMF, and corresponding performance measurement frameworks, and indicate how the RMF is designed and implemented in GCF programmes.
- **Review of international experience:** will enable the review team to build on international best practices and take into consideration themes and challenges that are emerging from other organisations' results management experiences.
- **A survey:** will gather relevant information related to perceptions of stakeholders. The survey will be targeted at NDAs/AEs and country focal points.
- **Key informant interviews with stakeholders** such as GCF Secretariat staff, NDAs, AEs, and implementing partners, will capture experience and provide insights into processes and capacities related to the implementation of the RMF.
- **Country level field work** will provide useful insights into aspects of country priorities and capacities related to the implementation of the RMF and its usefulness.

V. TIMELINE

- Main data collection and analysis phase: June - July 2018.
- Draft review report available for stakeholder consultation: End of August 2018.
- The final review: Twenty first meeting of the GCF Board (October 2018).

⁷ GCF/B.07/11/ Decision B.07/04/ (d)

⁸ GCF/B.05/23/ Decision B.05/03/ (l)