



The IEU's 2021 Work Plan and Budget

At its twenty-seventh meeting in November 2020, the Board of the Green Climate Fund (GCF) approved a budget of USD 5,912,573 to fund the Independent Evaluation Unit's (IEU) activities in 2021.

There are four core objectives in the IEU's annual work plan for 2021.

- I. Building and strengthening the IEU
- II. Evaluations
- III. Capacity building and advisory services
- IV. Uptake, communications and partnerships

I. Evaluations

As agreed in its three-year rolling work plan, the IEU will commence **four evaluations in 2021** and will deliver them in a phased manner for Board consideration. The purpose of these evaluations will be to support the Board by providing it with credible evaluation evidence on the performance of the Fund and to ensure that the Fund is learning in real-time.

The evaluations include:

- **Rapid assessment of the GCF's Request for Proposal (RfP) programmes.**

The RfP modality is a key access instrument, in particular to mobilize the private sector. An independent and rapid assessment of this modality will examine the efficiency and effectiveness of the RfP programmes, including the four pilots. This evaluation will be delivered to the Board in **June 2021**.

- **Independent evaluation of the GCF approach to the private sector**

Previous IEU evaluative and learning work has shown that the GCF continues to face

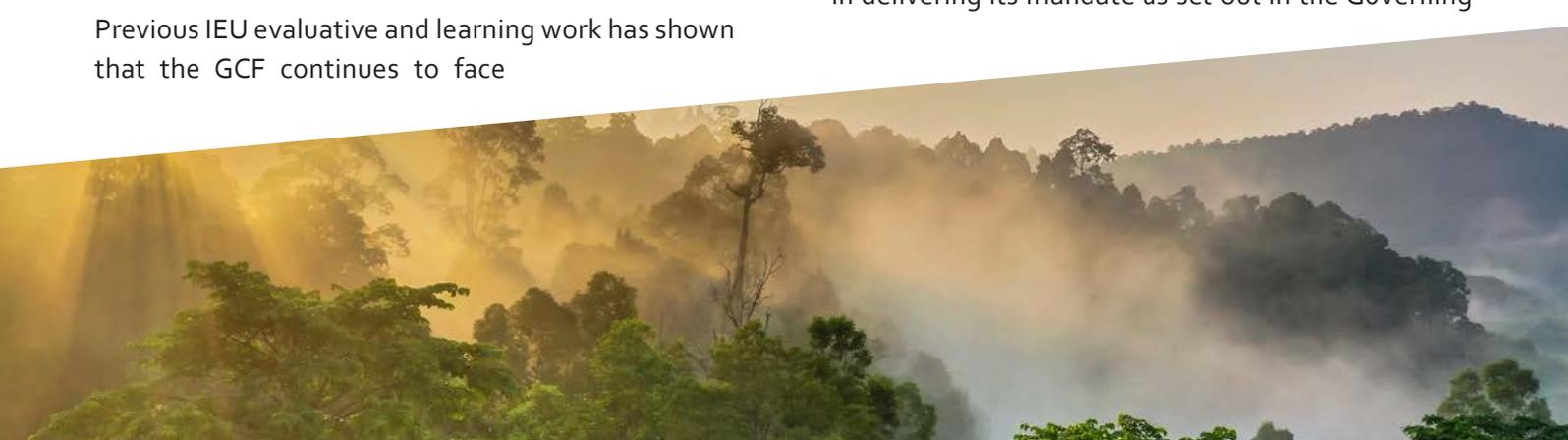
challenges in **attracting and involving the private sector**, particularly in adaptation. This evaluation will examine the approach of the GCF to involving and mobilizing the private sector, assessing the relevance, effectiveness and efficiency of the approach of the GCF towards the private sector. This evaluation will be delivered by the **final Board meeting in 2021**.

- **Independent evaluation of the effectiveness of the GCF investments in the LDCs**

The least developed countries (LDCs) are responsible for small additions to the overall GHGs stock globally but experience disproportionate impacts of its consequences. The evaluation will ask whether the GCF's approach and investments have been effective in reducing the vulnerability of local communities and their local livelihoods to the effects of climate change, and whether these impacts are likely to be sustained. The evaluation will be delivered by the end of 2021 and will be presented to the Board at the **first meeting of 2022**.

- **Second Performance Review (SPR) of the GCF.**

In 2019, the IEU delivered the first performance review of the GCF, which covered the initial resource mobilization period of the GCF and informed its first replenishment. In 2021, the IEU will initiate the Second Performance Review to **independently assess GCF's performance during GCF-1** and to **inform its second replenishment**. The SPR will assess GCF's progress in delivering its mandate as set out in the Governing



Instrument and during its first replenishment period. Subject to budget approval at B.28, this large evaluation will launch in 2021 and will be completed, in line with the Board workplan, at the **end of 2022**.

- **Learning-Oriented Real-Time Assessment (LORTA) programme.**

In its fourth year, LORTA will continue to expand into areas and countries where the GCF has investments. Through LORTA, IEU is currently working with a total of **12 GCF projects** to help them set up independent baselines and real-time measurement systems. In 2021, the IEU will continue to work closely with its partners and **select another group** of projects for LORTA-related technical assistance and measurement support. LORTA will produce **three baseline reports** and **one impact evaluation** report in 2021.

II. Capacity building and advisory services

The IEU's evaluation-based learning and capacity building programme responds to evaluation-related capacity needs of the GCF Board, Secretariat, accredited entities (AEs), nationally designated authorities and other stakeholders in the evaluation and climate change space. The IEU ensures that GCF's programmes and activities maintain sufficient quality regarding data, design and evaluation information. In 2021, the IEU will undertake **two new evidence reviews** on the topics of **behavioural change** and **gender** in the climate change domain. In 2021, the IEU will also continue to build the capacity of stakeholders through LORTA. In light of COVID-19, the IEU will focus on the development of online training modules that can be used to support capacity building. The IEU will also continue to explore state-of-the-art methods through several methods papers.

III. Uptake, communications and partnerships

The IEU's communications and uptake workstream acts as a **knowledge broker** between evaluators,

the GCF ecosystem and the broader climate finance landscape. It will continue to ensure that the IEU's data and evidence-rich evaluations, capacity building and learning works are packaged and disseminated in various ways to ensure effective **outreach, engagement and uptake**. The workstream will continue to enhance the IEU's position through external events, virtual and in-person talks, the upgraded **website, podcasts, videos** and **social media channels**, and **engagement with a diverse set of partners**. It will also continue to produce monthly newsletters, quarterly reports and the IEU annual report and activity report.

IV. Building and strengthening the IEU

In February 2021, the IEU submitted the draft Evaluation Policy for the GCF to the Board ahead of B.28. Subject to the adoption of this policy, the IEU expects to develop standards for GCF evaluations, and strengthen the evaluation capacities in AEs.

The IEU maintains a focus on high-quality data and capacity-building through its DataLab and its Behaviour and Design Lab (BaD Lab). DataLab enables all evaluations conducted by the IEU by providing the necessary data collection and analysis support; BaDLab applies insights from behavioural science to the IEU's evaluation work by helping to assess how behavioural analysis and interventions can improve GCF procedures and projects.

In 2021, the IEU will develop a checklist for evaluations, quality assurance, and standard operating procedures. It will also continue to build its own capacities through training in methods, communications, evaluations and science.

In light of the global pandemic and 2021 work plan deliverables, the IEU continues to build internal modularity and capacity to deal with both routine events and unexpected events. While following the standards and guidelines of the GCF, the IEU continues to support its team in the development of a culture that supports personal growth and provides a positive work environment.

Contact the IEU

Tel.: +82 32 458 6428

Email: ieu@gcfund.org

Website: ieu.greenclimate.fund

Twitter: [@GCF_Eval](https://twitter.com/GCF_Eval)



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